Diplomat Pharmacy, Inc. Form 4 July 06, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person ** Kadlec Gary W			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Diplomat Pharmacy, Inc. [DPLO]	(Check all applicable)		
(Last)	(Last) (First) (Middle)		3. Date of Earliest Transaction	, 11		
4100 S. SAGINAW STREET  (Street)			(Month/Day/Year) 07/01/2015	_X_ Director 10% OwnerX_ Officer (give title Other (specif below) President		
			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		

FLINT, MI 48507

(City)	(State)	(Zip) Tabl	le I - Non-I	<b>Derivative</b>	Securi	ities Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/01/2015		Code V M	Amount 30,000	(D)	Price \$ 4.28	30,000	D	
Common Stock	07/01/2015		S	10,600 (1)	D	\$ 45.84 (2)	19,400	D	
Common Stock	07/01/2015		S	19,400 (1)	D	\$ 45.21	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### Edgar Filing: Diplomat Pharmacy, Inc. - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day,	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option to Acquire Common Stock	\$ 4.28	07/01/2015		M		30,000	<u>(4)</u>	09/01/2022	Common Stock	30,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Transfer de la companya de la compan	Director	10% Owner	Officer	Other				
Kadlec Gary W 4100 S. SAGINAW STREET FLINT, MI 48507	X		President					

# **Signatures**

Jeffrey H. Kuras, Attorney-in-fact 07/06/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale was effected pursuant to a 10b5-1 trading plan initially adopted by the reporting person on June 24, 2015. The plan provides for monthly sales of 30,000 shares of common stock at the market price. Shares that are not sold in a particular month will be available for sale in subsequent months under the plan. A maximum of 150,000 shares remain available for sale under the plan, which is set to expire on December 31, 2015.
- The price represents the weighted average price of the multiple transactions reported on this line. The shares were sold at prices ranging from \$45.52 to \$46.15 per share. Upon request by the SEC staff, the issuer or any securityholder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

**(3)** 

Reporting Owners 2

#### Edgar Filing: Diplomat Pharmacy, Inc. - Form 4

The price represents the weighted average price of the multiple transactions reported on this line. The shares were sold at prices ranging from \$44.80 to \$45.51 per share. Upon request by the SEC staff, the issuer or any securityholder of the issuer, the reporting person will provide full information regarding the number of shares sold at each separate price.

The options were granted on 9/1/2012. The options began to vest in annual increments of 25% of the option grant on the one-year anniversary of the grant date, to be fully vested after four years. 50% of the options are currently vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.