Edgar Filing: NBT BANCORP INC - Form 4

NBT BANC	CORP INC											
Form 4 May 03, 201	16											
FORM	ЛЛ		CECUI				NCE	COMMERCIC	NT.	B APPROV	/AL	
Washington, D.C. 20549							N OMB Number	:	5-0287			
Check this box if no longer whigh to STATEMENT OF CHANGES IN BENE					DENIDE					: Janu	ary 31, 2005	
subject to STATEMENT OF Section 16. Form 4 or				SECUR	RITIES				Estimat burden respons	timated average rden hours per sponse C		
obligatic may con <i>See</i> Instr 1(b).	tinue. Section 17(a	a) of the H	Public U		ding Cor	npan	y Act	nge Act of 1934 of 1935 or Sect 940				
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> SANTANGELO JOSEPH A			2. Issuer Name and Ticker or Trading Symbol NBT BANCORP INC [NBTB]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(liddle)		f Earliest Tr	-	212	J	(Check all applicable)					
			(Month/Day/Year) 05/01/2016				X_ Director 10% Owner Officer (give title Other (specify below)					
Filed(Mo				Amendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	I, NY 13815							Person	•	1 0		
(City)	(State)	(Zip)	Tabl	e I - Non-E	Derivative	Secur	ities A	cquired, Disposed	l of, or Benefi	icially Own	ned	
1.Title of Security (Instr. 3)	(Month/Day/Year) Execution I		n Date, if TransactionAcquired (A) or Code Disposed of (D))) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	ıl		
NBT												
Bancorp Inc. Common Stock	05/01/2016			А	742 (1)	А	\$0	17,570	D			
NBT Bancorp Inc. Common Stock								67,998.971	I	by Corpora	ation	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Non-Qualified Stock Option (right to buy)	\$ 22.4842					05/01/2008 <u>(2)</u>	05/01/2017	NBT Bancorp Inc. Common Stock	1,50
Non-Qualified Stock Option (right to buy)	\$ 22.5508					05/01/2009 <u>(2)</u>	05/01/2018	NBT Bancorp Inc. Common Stock	1,50

Reporting Owners

Reporting Owner Name / Address							
	Director	10% Owner	Officer	Other			
SANTANGELO JOSEPH A 52 SOUTH BROAD STREET NORWICH, NY 13815	Х						
Signatures							
By: F. Sheldon Prentice, Power of Attorney For: Joseph A. Santangelo							

**Signature of Reporting Person

5/03/2016

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired pursuant to the NBT Bancorp Inc. 2008 Omnibus Incentive Plan. Each outside director is entitled to receive an annual retainer for Board service in the form of restricted stock units of the Company's common stock that vests in three annual installments.
- (2) Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.