Edgar Filing: Tachibana Aaron - Form 4

Tachibana Aaron Form 4 August 22, 2017 OMB APPROVAL FORNA 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16, obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Solid of the Public Utility Holding Company Act of 1935 or Section Stimated average urden hours per o.5							
(Print or Type Responses)							
1. Name and Address of Reporting Person Tachibana Aaron	2. Issuer Name and Ticker or Trading Symbol Lumentum Holdings Inc. [LITE]	5. Relationship of Reporting Person(s) to Issuer					
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Check all applicable)					
C/O LUMENTUM, 400 NORTH MCCARTHY BLVD	(Month/Day/Year) 08/18/2017	Director 10% Owner Officer (give title Other (specify below) CFO					
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
MILPITAS, CA 95035		Form filed by More than One Reporting Person					
(City) (State) (Zip)	Table I - Non-Derivative Securities Advised to the securities Advised to the securities Advised to the securities advised to the security of the secure securety of the security of the security of the securety of the	quired, Disposed of, or Beneficially Owned					
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivative	Expiration Dat (Month/Day/Y	5. Date Exercisable and Expiration Date Month/Day/Year) 7. Title and Amo Underlying Secur (Instr. 3 and 4)		Securities	8. Pri Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	08/20/2017	М	960	08/20/2017	(3)	Common Stock	960	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
FB	Director	10% Owner	Officer	Other		
Tachibana Aaron C/O LUMENTUM 400 NORTH MCCARTHY BLVD MILPITAS, CA 95035			CFO			
Signatures						
Judy G Hamel as Attorney-in-Fact	08/22	/2017				

*Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) New RSU grant award vests 33% on 1st anniversary of grant date then 8 quarterly equal installments over following 2 years.

(2) New RSU grant vests in equal installments on the anniversary of grant date over 3 years.

(3) Restricted Stock Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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