Shake Shack Inc. Form 3/A January 28, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

SWINGHAMER DAVID A

(Last)

FLOOR

1. Title of Security

(Instr. 4)

(First)

(Middle)

Statement

(Month/Day/Year)

02/04/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Shake Shack Inc. [SHAK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 02/17/2015

(Check all applicable)

(Street)

C/O SHAKE SHACK INC., 24

UNION SQUARE EAST, 5TH

X 10% Owner Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10003

(City) (State)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

CLASS B COMMON STOCK $1,727,804 \frac{(1)}{}$ Ι

By Corp. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership Ownership

(Instr. 5)

Derivative Security:

(Instr. 4)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
COMMON MEMBERSHIP INTERESTS	(3)	(3)	CLASS A COMMON STOCK	1,727,804 (1)	\$ 0 (3)	I	By Corp. <u>(4)</u>

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

SWINGHAMER DAVID A C/O SHAKE SHACK INC. 24 UNION SQUARE EAST, 5TH FLOOR NEW YORK, NYÂ 10003

Â X Â Â

Signatures

/s/ David A. Swinghamer 01/28/2016

**Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were omitted from the reporting person's original Form 3, and were also omitted from two Forms 4 filed by the reporting person after his original Form 3 was filed.
- Represents shares of Class B Common Stock held by Union Square Cafe Corp ("Union Cafe"). The reporting person owns 3.969% of the issued and outstanding common stock of Union Cafe. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- Represents common membership interest in SSE Holdings, LLC ("LLC Interests") which are redeemable for an equal number of shares (3) of the Issuer's Class A Common Stock or, at the election of the Issuer, cash equal to the volume-weighted average market price of such shares. The LLC Interests have no expiration date.
- Represents LLC Interests held by Union Cafe. The reporting person disclaims beneficial ownership of these securities, and this report

 (4) shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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