Cleveland Capital Management, L.L.C.

Form 3

January 22, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

Statement

12/26/2018

(Month/Day/Year)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Flux Power Holdings, Inc. [FLUX]

A Cleveland Capital Management, L.L.C.

(Middle)

4. Relationship of Reporting

5. If Amendment, Date Original Filed(Month/Day/Year)

Person(s) to Issuer

Director

Officer

(Check all applicable)

(Street)

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One Reporting Person

ROCKY RIVER, OHÂ 44116

(First)

1250 LINDA ST., SUITE 304

(City) (State) (Zip)

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Common Stock

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

SEC 1473 (7-02)

5,159,100

(Instr. 5) I See Footnotes (1) (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Title

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion Ownership or Exercise Form of Price of Derivative Derivative Security: Direct (D) Security

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

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Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cleveland Capital Management, L.L.C. 1250 LINDA ST., SUITE 304 ROCKY RIVER, OH 44116	Â	Â	Â	Â
Massad Wade 1250 LINDA STREET, SUITE 304 ROCKY RIVER Â OHÂ 44116	Â	Â	Â	Â

## **Signatures**

/s/ Wade Massad, Managing
Member

\*\*Signature of Reporting Person

Date

/s/ Wade Massad

\*\*Signature of Reporting Person

Date

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported securities are directly owned by clients of Cleveland Capital Management, L.L.C. and may be deemed to be indirectly (1) beneficially owned by Cleveland Capital Management, L.L.C. and Wade Massad, as the Managing Member of Cleveland Capital Management, L.L.C.
- Each of the Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest (2) therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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