

O'BRIEN DEIRDRE
Form 3
February 07, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â O'BRIEN DEIRDRE

(Last) (First) (Middle)

ONE APPLE PARK WAY

(Street)

CUPERTINO,Â CAÂ 95014

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/05/2019

3. Issuer Name **and** Ticker or Trading Symbol
APPLE INC [AAPL]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Senior Vice President

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

137,913

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Date Exercisable Expiration Date Title Amount or
Number of

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	Â (1)	Â (1)	Common Stock	14,668	\$ (2)	D	Â
Restricted Stock Unit	Â (3)	Â (3)	Common Stock	27,629	\$ (2)	D	Â
Restricted Stock Unit	Â (4)	Â (4)	Common Stock	33,441	\$ (2)	D	Â
Restricted Stock Unit	Â (5)	Â (5)	Common Stock	33,225	\$ (2)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
O'BRIEN DEIRDRE ONE APPLE PARK WAY CUPERTINO, CA 95014	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Sam Whittington, Attorney-in-Fact for Deirdre O'Brien

02/07/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This restricted stock unit award was granted October 5, 2015 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2019, assuming continued employment through the applicable vesting date.
- (2) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (3) This restricted stock unit award was granted October 14, 2016 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2020, assuming continued employment through the applicable vesting date.
- (4) This restricted stock unit award was granted October 15, 2017 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2021, assuming continued employment through the applicable vesting date.
- (5) This restricted stock unit award was granted September 30, 2018 and vests in equal installments on a semi-annual basis over a four year period ending October 15, 2022, assuming continued employment through the applicable vesting date.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.