

LESAR DAVID J  
Form 4  
May 08, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LESAR DAVID J

(Last) (First) (Middle)  
3000 N. SAM HOUSTON PARKWAY E.  
(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction (Month/Day/Year)  
05/04/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Exec Chairman of the Board

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/19/2014		J <sup>(1)</sup>		65,907.05	D	\$ 0
Common Stock	03/19/2014		J <sup>(1)</sup>		65,907.05	A	\$ 0
							458,680.663
							156,754.29
							(2)
							I
							By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Call option (obligation to sell)	\$ 57.1805	05/04/2018		S/K <sup>(3)</sup>		1		02/01/2019	02/01/2019	Common Stock	156,748
Put option (right to sell)	\$ 46.912	05/04/2018		P/K <sup>(3)</sup>		1		02/01/2019	02/01/2019	Common Stock	156,748
Option to Buy Common Stock	\$ 43.38							12/06/2017	12/06/2027	Common Stock	77,899
Option to Buy Common Stock	\$ 53.54							12/07/2016	12/07/2026	Common Stock	114,900
Option to Buy Common Stock	\$ 38.95							12/02/2015	12/02/2025	Common Stock	176,900
Option to Buy Common Stock	\$ 40.75							12/03/2014	12/03/2024	Common Stock	178,100
Option to Buy Common Stock	\$ 50.62							12/04/2013	12/04/2023	Common Stock	137,900
Option to Buy Common Stock	\$ 33.5							12/05/2012	12/05/2022	Common Stock	208,900
Option to	\$ 35.57							12/06/2011	12/06/2021	Common	141,900

Buy Common Stock					Stock	
Option to Buy Common Stock	\$ 39.19		12/01/2010	12/01/2020	Common Stock	108,000
06/2017 Restricted Stock Units	\$ 0 <sup>(4)</sup>		<u>(5)</u>	<u>(5)</u>	Common Stock	326,229

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LESAR DAVID J 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032	X		Exec Chairman of the Board	

## Signatures

/s/ Bruce A. Metzinger, by Power of Attorney  
Date: 05/08/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person transferred shares to spouse.
- (2) Indirect beneficial ownership total reduced by 25,000 to correct for an administrative error in the indirect beneficial ownership total listed on Form 4 report submitted 12/7/2017.  
The spouse of the reporting person entered into a "zero-cost collar" arrangement pursuant to which she wrote a covered call option and purchased a put option. Only one of the options, if any, can be in the money on the expiration date, at which time the in-the-money option will be exercised (and settled for cash), and the other option will expire. If neither option is in the money on the expiration date, both options will expire.
- (3)
- (4) Each Restricted Stock Unit represents the right to receive one share of common stock.
- (5) One-half of the Restricted Stock Units vest and distribute as common stock effective December 31, 2018. The other one-half will be valued on December 31, 2018 and distribute as cash in three equal annual installments beginning December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.