

Tjaden Kurt A  
Form 4  
April 18, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Tjaden Kurt A

(Last) (First) (Middle)

600 EAST SECOND STREET

(Street)

MUSCATINE, IA 52761

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

HNI CORP [HNI]

3. Date of Earliest Transaction  
(Month/Day/Year)

04/16/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
☒ Officer (give title below) \_\_\_\_ Other (specify below)

SVP HNI; President HNI Intl

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 04/16/2018                              |                                                             | M                                    | 3,831 A                                                                 | \$ 31.98                                                                                                           | 43,677.286                                                              | D                                                                 |
| Common<br>Stock                       | 04/16/2018                              |                                                             | S <sup>(1)</sup>                     | 3,831 D                                                                 | \$ 36 <sup>(2)</sup>                                                                                               | 39,846.286                                                              | D                                                                 |
| Common<br>Stock                       | 04/16/2018                              |                                                             | M                                    | 169 A                                                                   | \$ 25.46                                                                                                           | 40,015.286                                                              | D                                                                 |
| Common<br>Stock                       | 04/16/2018                              |                                                             | S <sup>(1)</sup>                     | 169 D                                                                   | \$ 36 <sup>(2)</sup>                                                                                               | 39,846.286                                                              | D                                                                 |
| Common<br>Stock                       | 04/17/2018                              |                                                             | M                                    | 4,000 A                                                                 | \$ 25.46                                                                                                           | 43,846.286                                                              | D                                                                 |

# Edgar Filing: Tjaden Kurt A - Form 4

Common Stock 04/17/2018 S<sup>(1)</sup> 4,000 D \$ 36.45 39,846.286 D  
(2)

Common Stock 1,170.53 I Profit-Sharing Retirement Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)          | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|                                                     |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                            |
| Non-qualifying employee stock option (right to buy) | \$ 31.98                                               | 04/16/2018                           |                                                    | M                              | 3,831                                                                                   | 02/16/2015 02/16/2021                                    | Common Stock 3,831                                          |
| Non-qualifying employee stock option (right to buy) | \$ 25.46                                               | 04/16/2018                           |                                                    | M                              | 169                                                                                     | 02/15/2016 02/15/2022                                    | Common Stock 169                                            |
| Non-qualifying employee stock option (right to buy) | \$ 25.46                                               | 04/17/2018                           |                                                    | M                              | 4,000                                                                                   | 02/15/2016 02/15/2022                                    | Common Stock 4,000                                          |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SVP HNI; President HNI Intl

Tjaden Kurt A  
600 EAST SECOND STREET  
MUSCATINE, IA 52761

## Signatures

/s/Julie Abramowski; By Power of  
Attorney

04/18/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was effected pursuant to a Rule 10b5-1 plan of the Securities and Exchange Act of 1934, as amended, and HNI's policies regarding stock transactions, including its insider trading policy.  
The dollar amount in column 4 is a result of multiple trades at the same price. The reporting person undertakes to provide HNI
- (2) Corporation, any security holder of HNI Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at this price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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