WILSON ALAN D Form 4 March 19, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Estimated average

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WILSON ALAN D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

MCCORMICK & CO INC [MKC]

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

03/15/2019

Director \_\_ 10% Owner Officer (give title \_ Other (specify

MCCORMICK & COMPANY, **INCORPORATED, 24 SCHILLING** ROAD, SUITE 1

(State)

(Street)

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**HUNT VALLEY, MD 21031** 

Table I. Non Derivative Securities Acquired Disposed of or Repeticially Ox

| (,)                                  | ()                                   | Tal   | ole I - Non-    | -Derivativ                                 | e Secu                         | rities Aco  | quired, Disposed of  | i, or Beneficia  | illy Owned  |
|--------------------------------------|--------------------------------------|---|-----------------|--|--------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4 | sposed<br>4 and 3<br>(A)<br>or | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| <b>C</b>                             |                                      |   | Code V          | Amount                                     | (D)                            | Price       | (  |  |   |
| Common<br>Stock -<br>Voting          | 03/15/2019                           |   | M               | 28,035                                     | A                              | \$<br>54.24 | 140,187.6878   | D  |   |
| Common<br>Stock -<br>Voting          | 03/15/2019                           |   | S               | 28,035                                     | D                              | \$ 140      | 112,152.6878   | D  |   |
| Common<br>Stock -<br>Voting          | 03/18/2019                           |   | M               | 61,965                                     | A                              | \$<br>54.24 | 174,117.6878   | D  |   |
| Common                               | 03/18/2019                           |   | S               | 61,965                                     | D                              | \$ 140      | 112,152.6878   | D  |   |

### Edgar Filing: WILSON ALAN D - Form 4

| Stock - Voting                     |             |   |                              |
|------------------------------------|-------------|---|------------------------------|
| Common<br>Stock -<br>Voting        | 10,678.8726 | I | 401(k)<br>Retirement<br>Plan |
| Common<br>Stock -<br>Voting        | 24,600      | I | By 2017<br>GRAT              |
| Common<br>Stock -<br>Voting        | 20,000      | I | By 2018<br>GRAT              |
| Common<br>Stock -<br>Non<br>Voting | 5,852.054   | D |                              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|---|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Phantom<br>Stock                                    | <u>(1)</u>  |   |   |   |   | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock -<br>Voting                                   | 0                                   |
| Options -<br>Right to<br>Buy                        | \$ 54.24  | 03/15/2019                              |   | M                                       | 28,035  | 03/28/2013   | 02/01/2022         | Common<br>Stock -<br>Voting                                   | 28,035                              |
| Options -<br>Right to<br>Buy                        | \$ 54.24  | 03/18/2019                              |   | M                                       | 61,965  | 03/28/2013   | 02/01/2022         | Common<br>Stock -<br>Voting                                   | 61,965                              |

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

WILSON ALAN D

MCCORMICK & COMPANY, INCORPORATED 24 SCHILLING ROAD, SUITE 1 HUNT VALLEY, MD 21031



# **Signatures**

Jason E. Wynn, Attorney-in-fact

\*\*Signature of Reporting Person Dat

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one share of Common Stock Voting. Shares of phantom stock are payable in shares of Common Stock Voting in accordance with the terms of the Non-Qualified Retirement Savings Plan.
- (2) Option Exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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