## Edgar Filing: Ryan James C III - Form 4

Ryan James C Form 4	III							
December 03, 2	2018							
FORM	OMB APPROVAL OMB 3235-028 Number:							
Check this b	DOX	Wash	Washington, D.C. 20549			January 31,		
if no longer subject to Section 16. Form 4 or Form 5		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						
obligations may continu <i>See</i> Instructi 1(b).	e. Section 17(a)	of the Public Uti	(a) of the Securities Exchang lity Holding Company Act o estment Company Act of 19	f 1935 or Sectior	1			
(Print or Type Res	ponses)							
1. Name and Address of Reporting Person <u>*</u> Ryan James C III		Symbol	Name <b>and</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
		OLD NA [ONB]	TIONAL BANCORP /IN/	(Check all applicable)				
(Last) ONE MAIN S	(First) (Mid T	ldle) 3. Date of I (Month/Da 11/30/20	-	Director X Officer (give below)	title Othe below)	Owner er (specify		
	(Street)		dment, Date Original	S 6. Individual or Jo	EVP-CFO int/Group Filin	g(Check		
EVANSVILLI	E, IN 47708	Filed(Month	Form filed by			One Reporting Person More than One Reporting		
(City)	(State) (Zi	<sup>ip)</sup> Table	I - Non-Derivative Securities Ac	Person auired. Disposed of	or Beneficial	lv Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Pric	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial		
COMMON STOCK					I <u>(1)</u>	ONB KSOP		
COMMON STOCK				2,000	D (3)			
COMMON STOCK				2,430	D (2)			
COMMON STOCK				3,095	D (4)			
COMMON STOCK				102,892	D (5)			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and An Underlying Sec (Instr. 3 and 4)	urities	8. H Dei Sec (Ins
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
PHANTOM STOCK	\$ 18.73 ( <u>6)</u>	11/30/2018	Р	51	(7)	(7)	COMMON STOCK	51 <u>(6)</u>	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Ryan James C III ONE MAIN ST EVANSVILLE, IN 47708			SEVP-CFO			
Signatures						
JEFFREY L KNIGHT, EXECUTIVE VP AND CHIEF LEGAL COUNSEL, AS ATTORNEY-IN-FACT 12/03/201						

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) KSOP balance updated based upon current data.
- (2) Shares held in an IRA with a broker.
- (3) Shares held with a broker.
- (4) Old National Bancorp Employee Stock Purchase Plan.
- (5)

Date

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Includes 48,750 restricted stock units, 11,251 restricted stock shares and 42,891 shares of common stock. Fractional amounts have been rounded to the nearest whole number.

- (6) Each share of phantom stock represents the right to receive one share of ONB common stock or the cash value thereof.
- (7) Shares of phantom stock are payable in cash following termination of the reporting person's employment with ONB or reporting person becoming disabled. The reporting person may transfer his phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.