

NEOGEN CORP
Form 4
May 23, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
CROWDER RICHARD T

(Last) (First) (Middle)

(Street)

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
NEOGEN CORP [NEOG]

3. Date of Earliest Transaction
(Month/Day/Year)
05/19/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| common Stock | 05/19/2016 | | M | | 11,250 | A | \$ 13.5555 | 12,750 | D |
| Common Stock | 05/19/2016 | | M | | 3,000 | A | \$ 22.42 | 20,250 | D |
| Common Stock | 05/19/2016 | | M | | 4,500 | A | \$ 22.7533 | 24,750 | D |
| common Stock | 05/19/2016 | | M | | 4,500 | A | \$ 28.6666 | 24,750 | D |
| Common Stock | 05/19/2016 | | M | | 3,000 | A | \$ 41.6533 | 27,750 | D |
| | 05/19/2016 | | M | | 1,000 | A | \$ 41.26 | 28,750 | D |

Edgar Filing: NEOGEN CORP - Form 4

Common
Stock

common Stock 05/19/2016 S 27,250 D \$ 48.0477 1,500 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | |
|---|---|---|---|---|--|--|-----|---|--------------------|-----------------|-------------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| common Stock (Right to Buy) | \$ 13.5555 | 05/19/2016 | | M | | 11,250 | | 10/09/2012 | 10/09/2019 | Common Stock | 11,250 |
| Common Stock (Right to Buy) | \$ 22.42 | 05/19/2016 | | M | | 3,000 | | 10/07/2013 | 10/07/2020 | Common Stock | 3,000 |
| Common Stock (Right to Buy) | \$ 22.7533 | 05/19/2016 | | M | | 4,500 | | 10/06/2014 | 10/06/2024 | Common stock | 4,500 |
| Common Stock (Right to Buy) | \$ 28.6666 | 05/19/2016 | | M | | 4,500 | | 10/05/2015 | 10/04/2022 | Common Stock | 4,500 |
| Common Stock (Right to Buy) | \$ 41.6533 | 05/19/2016 | | M | | 3,000 | | 10/03/2015 | 10/03/2023 | Common Stock | 3,000 |
| Common Stock | \$ 41.26 | 05/19/2016 | | M | | 1,000 | | 10/02/2015 | 10/02/2024 | Common Stock | 1,000 |

(Right to
Buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| CROWDER RICHARD T | X | | | |

Signatures

| | |
|--|------------|
| Steven J Quinlan (Attorney in Fact) | 05/23/2016 |
|--|------------|

| | |
|---------------------------------|------|
| **Signature of Reporting Person | Date |
|---------------------------------|------|

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Remarks:

Dr. Crowder resigned as a Director of the Company, effective April 30, 2016. The options granted above had ten year lives, a

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.