

Edgar Filing: FIRST MERCHANTS CORP - Form 4

FIRST MERCHANTS CORP
Form 4
July 09, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|--------|---------|----------|
| Thrash | James | L |
| (Last) | (First) | (Middle) |

5509 Greythorn Street

(Street)

| | | |
|--------|---------|-------|
| Muncie | IN | 47304 |
| (City) | (State) | (Zip) |

First Merchants Corporation - FRME

2. Issuer Name and Ticker or Trading Symbol

316-52-1886

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

June 30, 2001

4. Statement for Month/Year

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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Sr. Vice President - Chief Financial Officer

7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

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| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|---------------------------------------|---|---|---|--|------------------|---------|
| | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 06/30/01 | A | | 451 | A | 17.6906 |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 2. Conver- sion or Exer- cise Price | 3. Trans- | 4. Trans- action | 5. Number of Derivative Securities Acquired (A) or Disposed | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--------------|------------------------|--|--|---|
|---|--------------|------------------------|--|--|---|

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| 1. Title of Derivative Security (Instr. 3) | of Deriv- ative Secur- ity | action Date (Month/ Day/ Year) | Code (Instr. 8) ----- Code V | of(D) (Instr. 3, 4 and 5) ----- (A) (D) | (Month/Day/Year) ----- Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares |
|--|--|--|--|---|---|-------------------------|-------|--|
|--|--|--|--|---|---|-------------------------|-------|--|

Employee Stock
Option(Right to buy)

Deferred Stock Units

Explanation of Responses:

/s/ Larry R. Helms

July 9, 2001

 **Signature of Reporting Person
 James L. Thrash
 (Confirming Statement on File)

 Date

** Intentional misstatements or omissions of facts constitute Federal
 Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
 If space provided is insufficient, see Instruction 6 for procedure.