SIMMONS HAROLD C

Form 4

September 22, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SIMMONS HAROLD C | | | 2. Issuer Name a | and Ticker or Trading | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|----------------|---------------|-------------------------------|-----------------------------|--|---|--|--|
| | | | NL INDUSTR | IES INC [NL] | (Chec | ck all applicable) | | |
| (Last) | (First) | (Middle) | 3. Date of Earlies | t Transaction | (0.10) | an approacie) | | |
| 5430 LBJ I | FREEWAY, S | UITE 1700 | (Month/Day/Year 09/22/2011 |) | below) | _X10% Owner e titleOther (specify below) of the Board & CEO | | |
| | (Street) | | 4. If Amendment, | Date Original | 6. Individual or Jo | oint/Group Filing(Check | | |
| DALLAS, | TX 75240 | | Filed(Month/Day/Y | (ear) | · | One Reporting Person More than One Reporting | | |
| (City) | (State) | (Zip) | Table I - No | n-Derivative Securities Acq | quired, Disposed o | f, or Beneficially Owne | | |
| 1.Title of | 2. Transaction | Date 2A. Deer | med 3. | 4. Securities Acquired | 5. Amount of | 6. Ownership 7. Natu | | |

| (City) | (State) | Table | e I - Non-D | erivative (| Secur | ities Acqu | nred, Disposed of | , or Beneficiall | ly Owned |
|---|---|---|--|-------------|---|-------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | n(A) or Di | rities Acquired Disposed of (D) 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| stock \$0.125 par value | 09/22/2011 | | P(1) | 14 | A | \$ 11.9 | 343,194 | I | by Spouse |
| Common stock \$0.125 par value | 09/22/2011 | | P(1) | 5,300 | A | \$ 12 | 348,494 | I | by Spouse |
| Common stock \$0.125 par value | 09/22/2011 | | P(1) | 4,686 | A | \$ 12.05 | 353,180 | I | by Spouse |

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| Common stock \$0.125 par value | 09/22/2011 | P <u>(1)</u> | 5,000 | A | \$ 12.15 | 358,180 | I | by Spouse |
|---|------------|--------------|-------|---|-------------|------------|---|-----------|
| Common stock \$0.125 par value | 09/22/2011 | P(1) | 5,000 | A | \$ 12.27 | 363,180 | I | by Spouse |
| Common stock \$0.125 par value | | | | | | 40,387,531 | I | by Valhi |
| Common stock \$0.125 par value | | | | | | 1,052,054 | D | |
| Common stock \$0.125 par value | | | | | | 222,100 | I | by TFMC |
| Common stock \$0.125 par value | | | | | | 2,000 | I | by Kronos |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | |
|---|---|--------------------------------------|---|--|--|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Date

SIMMONS HAROLD C

5430 LBJ FREEWAY, SUITE 1700 X X Chairman of the Board & CEO

DALLAS, TX 75240

Signatures

A. Andrew R. Louis, Attorney-in-fact, for Harold C.

Simmons 09/22/2011

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase by the reporting person's spouse.
- (2) Directly held by the reporting person's spouse. The reporting person disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds.
- (3) Directly held by Valhi, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (4) Directly held by TIMET Finance Management Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.
- (5) Directly held by Kronos Worldwide, Inc. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the reporting person.

Remarks:

Exhibit Index

Exhibit 99 Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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