## Edgar Filing: CORDES JAMES F - Form 4

CORDES JA Form 4 January 06, 2											
OMB APPROVAL											
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP				Expires: Estimated a burden hou response	irs per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	esponses)										
CORDES JAMES F Symbol			uer Name <b>and</b> Ticker or Trading ol THEAST UTILITIES [NU]				5. Relationship of Reporting Person(s) to Issuer				
				of Earliest Transaction			(Check all applicable)				
			th/Day/Year)Director				-	ve title 10% Owner X Other (specify below) Trustee			
(Street) 4. If Amer			nendment, Da	endment, Date Original			6. Individual or Joint/Group Filing(Check				
BERLIN, CI	Ionth/Day/Year	nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State) (2	Zip) Te	bla I - Nop D	onivotivo 6	loonni	tios A a		f or Dopoficio	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed	3. if Transacti Code r) (Instr. 8)	4. Securi onAcquired Disposed	ties l (A) o l of (D	or ))	quired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-		
Common Shares, \$5.00 par	01/02/2009		А	3,000 (1)	А	\$ 0	29,133 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

value

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CORDES JAMES F C/O NORTHEAST UTILITIES 107 SELDEN STREET BERLIN, CT 06037				Trustee			
Signatures							
James F. Cordes, By: O. Kay Comendul/POA		01/0	)6/2009				
<u>**</u> Signature of Reporting Person			Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share units vesting on January 11, 2010, receipt of which has been deferred. Distribution will be made on a one-for-one basis in Common Shares.

The total for Mr. Cordes includes 5,000 Common Shares indirectly beneficially held in an IRA, restricted share units receipt of which has
(2) been deferred, shares receipt of which has been deferred and dividend equivalents thereon. Mr. Cordes also beneficially owns 5,000 options to purchase Common Shares, the acquisition of which was previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.