Edgar Filing: LaVecchia Jean M - Form 4

LaVecchia J	ean M								
Form 4	• • • •								
February 11,									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL		
Check th	UNITED STATE		on, D.C. 20		COMMISSION	OMB Number:	3235-0287		
if no long	aer.						January 31, 2005		
subject to Section 1 Form 4 o	6. r	SECU	URITIES			Estimated a burden hou response	d average ours per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type I	Responses)								
LaVecchia Jean M Symbol			and Ticker or	C	5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middle)		NORTHEAST UTILITIES [NU] 3. Date of Earliest Transaction			(Check all applicable)			
C/O NORTHEAST UTILITIES, 107 02/10/2 SELDEN STREET					Director 10% Owner Officer (give title Other (specify below) vP-Human Resources				
(Street) 4. If Ame Filed(Mor BERLIN, CT 06037			Date Origina (ear)	I	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
DERLIN, C	1 00037				Person				
(City)	(State) (Zip)	Table I - No	n-Derivative	Securities A	cquired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)			ction(A) or Di (Instr. 3,	• ·		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
Common Shares, \$5.00 par value	02/10/2009	Code	V Amount 2,656 (1)	(D) Pric A \$ 23.7	e 20.271 (2)	D			
Common Shares, \$5.00 par value					2,018 (<u>3)</u>	I	401K Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and 4	ecurities	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares (4)	<u>(4)</u>				(4)	(4)	Common Shares \$5 par value	0 (4)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LaVecchia Jean M C/O NORTHEAST UTILITIES 107 SELDEN STREET BERLIN, CT 06037			VP-Human Resources				
Signatures							

Jean M. LaVecchia 02/11/2009

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted shares units which vest in equal portions on February 25, 2010, 2011 and 2012. Restricted share units are distributable in Northeast Utilities common shares on a one-for-one basis.
- (2) Includes restricted share units and reinvested dividend equivalents. In addition, the reporting person directly owns 22,900 stock options to purchase Northeast Utilities common shares.
- (3) Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, as of February 10, 2009, according to information supplied by the plan's record keeper.

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The reporting person holds phantom shares of NU common under the Northeast Utilities Deferred Compensation Plan for Executives, a

(4) non-qualified deferred compensation plan (the DCP), made as matching contributions under the DCP. Each phantom share represents the right to receive the cash value of one share of NU common upon a distribution event. Additional shares are issued from the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.