NORTHEAST BANCORP /ME/

Form 4

August 14, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person *

5. Relationship of Reporting Person(s) to

Issuer

WAYNE RICHARD

NORTHEAST BANCORP /ME/

2. Issuer Name and Ticker or Trading

(Check all applicable)

[NBN]

Symbol

(Last)

(Middle) (First)

3. Date of Earliest Transaction

X Director X_ Officer (give title

10% Owner Other (specify

C/O NORTHEAST BANCORP, 500

08/12/2014

below)

President & CEO

CANAL STREET

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

LEWISTON, ME 04240

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or Dispos (Instr. 3, 4	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Voting Common Stock	08/12/2014		P	600	A	\$ 9.1418	136,309	I	By trust
Voting Common Stock	08/12/2014		P	200	A	\$ 9.225	136,509	I	By trust
Voting Common Stock	08/13/2014		P	11,352	A	\$ 9.4884	147,861	I	By trust
Voting							97,202	I	By trust

Edgar Filing: NORTHEAST BANCORP /ME/ - Form 4

Common (2)

Stock

Voting

Common 7,916 D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Owne Follo

Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.	8)	Number		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
				Code	V ((A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

	Kelationships				
Reporting Owner Name / Address	- · · · · · · · · · · · · · · · · · · ·				

Director 10% Owner Officer Other

WAYNE RICHARD C/O NORTHEAST BANCORP 500 CANAL STREET LEWISTON, ME 04240

X President & CEO

Signatures

/s/ Claire Bean, attorney-in-fact 08/14/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: NORTHEAST BANCORP /ME/ - Form 4

- (1) These shares are owned directly by the Richard Wayne Revocable Trust.
- (2) These shares are owned directly by the Richard Wayne Irrevocable Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.