Beneficial Ownership

(Instr. 5)

Edgar Filing: NORTHERN TRUST CORP - Form 3

NORTHERN TRUST CORP Form 3 November 02, 2009 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Instr. 4)

1. Name and A Person <u>*</u> LANE R			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol NORTHERN TRUST CORP [NTRS]						
(Last)	(First)	(Middle)	11/01/2009	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Officer10% Owner Other (give title below) (specify below)			 5. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
50 SOUTH CHICAGO,	(Street)									
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Be	Beneficially Owned			
1.Title of Secu (Instr. 4)	ırity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	-			
Common St	tock		7,800		D	Â				
Reminder: Rep owned directly	-		ch class of securities benefic	ially S	EC 1473 (7-02)				
	inforr requi	nation conta red to respo	pond to the collection of ained in this form are not nd unless the form displ MB control number.	t						
r	Table II - De	rivative Secu	rities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, c	onvertible securities)			
1. Title of Der	ivative Securi	ity 2. Da	te Exercisable and 3. Title	and Amount of	4.	5.	6. Nature of Indirect			

Expiration Title

Securities Underlying

Amount or

Number of

Derivative Security

(Instr. 4)

Expiration Date

Exercisable Date

(Month/Day/Year)

Date

Conversion

or Exercise

Price of Derivative

Security

Ownership

Derivative

Security:

Direct (D)

or Indirect

Form of

OMB AF	PROVAL						
OMB Number:	3235-0104						
Expires:	January 31, 2005						
Estimated average							
burden hours per							
response	0.5						

Shares

(I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships									
	Director	10% Owner	Officer	Other						
LANE ROBERT W 50 SOUTH LASALLE STREET CHICAGO, IL 60606	ÂX	Â	Â	Â						
Signatures										
Paul A. Bernacki Attorney-in-Fact Lane	11.	/02/2009								
**Signature of Reporting Pers	Date									
Explanation of Responses:										

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.