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NORTHERN TRUST CORP

Form 3 May 07, 2012

FORM 3 UN

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement NORTHERN TRUST CORP [NTRS] Bowman Biff (Month/Day/Year) 05/02/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 50 S. LASALLE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) CHICAGO, Â ILÂ 60603 Form filed by More than One Head of Human Resources Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock (1) 24,541 Â Common Stock 9,832 D Common Stock 543 (2) Ι 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (right-to-buy)	(3)	05/20/2012	Common Stock	1,668	\$ 52.3	D	Â
Employee Stock Option (right-to-buy)	(3)	05/20/2012	Common Stock	3,332	\$ 52.3	D	Â
Employee Stock Option (right-to-buy)	(4)	02/17/2014	Common Stock	7,000	\$ 49.12	D	Â
Employee Stock Option (right-to-buy)	(5)	02/15/2015	Common Stock	6,000	\$ 44.465	D	Â
Employee Stock Option (right-to-buy)	(6)	02/21/2016	Common Stock	6,045	\$ 52.095	D	Â
Employee Stock Option (right-to-buy)	(7)	02/20/2017	Common Stock	5,919	\$ 63.36	D	Â
Employee Stock Option (right-to-buy)	(8)	02/19/2018	Common Stock	7,371	\$ 71.23	D	Â
Employee Stock Option (right-to-buy)	(9)	02/16/2019	Common Stock	14,444	\$ 55.39	D	Â
Employee Stock Option (right-to-buy)	(10)	02/15/2020	Common Stock	11,768	\$ 50.99	D	Â
Employee Stock Option (right-to-buy)	(11)	02/14/2021	Common Stock	11,399	\$ 52.64	D	Â
Employee Stock Option (right-to-buy)	(12)	02/13/2022	Common Stock	11,455	\$ 43.65	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
Bowman Biff 50 S. LASALLE STREET CHICAGO, IL 60603	Â	Â	Head of Human Resources	Â	

Signatures

Paul A. Bernacki, Attorney-in-Fact for S. Biff Bowman 05/07/2012

**Signature of Reporting Person

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) as of 3/31/12
- (3) This option became excercisable in three annual installments beginning 5/20/2003.
- (4) This option became excercisable in four annual installments beginning 2/17/2005.
- (5) This option became excercisable 100% on March 31, 2005
- (6) This option became excercisable in four annual installments beginning 2/21/2007.
- (7) This option became excercisable in four annual installments beginning 2/20/2008.
- (8) This option became excercisable in four annual installments beginning 2/19/2009.
- (9) This option became excercisable in four annual installments beginning 2/16/2010.
- (10) This option became excercisable in four annual installments beginning 2/15/2011.
- (11) This option became excercisable in four annual installments beginning 2/14/2012.
- (12) This option became excercisable in four annual installments beginning 2/13/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.