Edgar Filing: Kukla Richard D. - Form 4

Kukla Richa Form 4	ard D.									
April 29, 20	13									
FORM	Λ4							OMB AF	PROVAL	
Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Subject to Section 16. Form 4 or Form 5 Filed pursuant to S			F CHANGES IN BENEFICIAL OWNERSHIP C SECURITIES Section 16(a) of the Securities Exchange Act of 1934 Public Utility Holding Company Act of 1935 or Sec						Expires: January 31 2005 Estimated average burden hours per response 0.5	
may con <i>See</i> Instr 1(b).	tinue. Section 17(30(h) of the	•	•	-	•				
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Kukla Richard D.			Symbol I NORTHERN TRUST CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		[NTR	.S]							
(Last) (First) (Middle) 50 SOUTH LASALLE STREET							Director 10% Owner _X Officer (give title Other (specify below) below) Controller			
			Filed(Month/Day/Year)				5. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CHICAGO	, IL 60603						Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip) Ta	able I - Non-	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, it any (Month/Day/Year	Code) (Instr. 8)	Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/29/2013		Code V S	Amount 2,000	(D) D	Price \$ 53.1069 (2)		D		
Common Stock (1)							2,968	D		
Common Stock							10,433.09 <u>(3)</u>	I	401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o Derivati Security (Instr. 3)	ve Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title Amoun Underly Securiti (Instr. 3	t of ving les	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	′ (A) (D)	Date Exercisable	Expiration Date	o Title M o	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kukla Richard D. 50 SOUTH LASALLE STREET CHICAGO, IL 60603			Controll	er			
Signatures							
Paul A. Bernacki, Attorney-in-Fac Kukla	04/29/2013						
**Signature of Reporting Pe	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- The price in Column 4 is the weighted average price. The prices actually received ranged from \$53.15 to \$53.17. Upon request, the
- (2) reporting person will provide to the SEC staff, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price within this range.
- (3) as of 03/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.