Edgar Filing: NORTHERN TRUST CORP - Form 4

NORTHERN 7 Form 4 July 02, 2013	TRUST CORP								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	PPROVAL 3235-0287 January 31,	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								2005 average irs per	
(Print or Type Res 1. Name and Add JAIN DIPAK	lress of Reporting Pe	Symb	RTHERN TR		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 50 SOUTH LASALLE STREET			te of Earliest Tr th/Day/Year) 0/2013	ansaction		X_ Director Officer (give titleOther (specify below)Other (specify			
	(Street)		Amendment, Da (Month/Day/Year	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
CHICAGO, II		.				Person		1 0	
	(State) (Z 2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any	3. e, if Transacti Code	TransactionAcquired (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	f, or Beneficial 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock (1)			Code V	Amount	(D) Price	(Instr. 3 and 4) 13,138.99	D		
Common Stock						2,175	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ionof Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price c Derivativ Security (Instr. 5)
				Code V	(A) ((D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Units	<u>(2)</u>	06/30/2013		А	495		(3)	(3)	Common Stock	495	\$ 0

Reporting Owners

Reporting Owner Name / Address							
r o o transmissione	Director	10% Owner	Officer	Other			
JAIN DIPAK C 50 SOUTH LASALLE STREET CHICAGO, IL 60603	Х						
Signatures							
Paul A. Bernacki, Attorney-in-Fac C. Jain	ak	07/02/2013					
**Signature of Reporting Perso		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) 1-for-1
- (3) The stock units will be paid in cash to the Director upon termination of the Director's service on the Board.
- (4) Stock units representing the deferral of the quarterly retainer and the deferral of board and committee fees pursuant to the Northern Trust Corporation Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.