Edgar Filing: NORTHERN TRUST CORP - Form 4

NORTHERN TRUST CORP

Form 4

February 20, 2014

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Check this box

if no longer

subject to

Section 16.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287

OMB APPROVAL

Washington, D.C. 20549

Number: January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Reported

Transaction(s) (Instr. 3 and 4)

 $48,796 \frac{(2)}{}$

19,319 (2)

11,789

10,051.35

D

I

Ι

I

(A)

(D)

D

Price

62.07

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

(Print or Type Responses)

Common

Stock (1)

Common

Common

Common

Stock

Stock

Stock

1. Name and Address of Reporting Person *

02/18/2014

Schreuder Jana R			Symbol NORTHERN TRUST CORP [NTRS]			Issuer (Check all applicable)			
(Last)	(First) (f Earliest T Day/Year)	ransaction	Director _X_ Officer (give	e title Othe	Owner er (specify		
50 SOUTH	LASALLE STR	EET 02/18/2	014		below) President/	below) Wealth Manage	ement		
	(Street)	4. If Ame	endment, Da	ate Original	6. Individual or Jo	oint/Group Filir	g(Check		
CHICAGO,	, IL 60603	Filed(Mo	nth/Day/Yea	r)	Applicable Line) _X_ Form filed by N Form filed by N Person				
(City)	(State)	(Zip) Tab	le I - Non-I	Derivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		3. Transacti Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Code V Amount

3,841

F

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By Trust

GRAT

of

401(k) as

12/31/13

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr. :	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				G 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Schreuder Jana R 50 SOUTH LASALLE STREET CHICAGO, IL 60603

President/Wealth Management

Signatures

Paul A. Bernacki, Attorney-in-Fact for Jana R. Schreuder

02/20/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- On February 18, 2014, 11,874 already reported and vested stock units, granted on February 14, 2011, were distributed to the reporting (2) person. 8,033 stock units were distributed to the reporting person as common stock of NTRS and 3,841 stock units were withheld in payment of Federal, State and Medicare taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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