Edgar Filing: STEIN MART INC - Form 3

STEIN MART INC

Form 3

September 26, 2006

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement STEIN MART INC [SMRT] MOLL WILLIAM A (Month/Day/Year) 09/19/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O STEIN MART INC., 1200 (Check all applicable) RIVERPLACE BLVD (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting EVP & Chief Merchandising Off. Person JACKSONVILLE, ÂFLÂ 32207 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock, \$0.01 par value 9,650 (1) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative Security	Security: Direct (D)	

Edgar Filing: STEIN MART INC - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (to purchase)	(2)	08/29/2013	Common Stock, \$0.01 par value	65,000	\$ 5.66	D	Â
Non-Qualified Stock Option (to purchase)	(3)	03/01/2012	Common Stock, \$0.01 par value	21,880	\$ 22.35	D	Â
Non-Qualified Stock Option (to purchase)	(3)	03/21/2013	Common Stock, \$0.01 par value	23,800	\$ 17.32	D	Â
Non-Qualified Stock Option (to purchase)	(3)	06/06/2013	Common Stock, \$0.01 par value	13,000	\$ 15.41	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
reporting of their remains a remained	Director	10% Owner	Officer	Other	
MOLL WILLIAM A C/O STEIN MART INC. 1200 RIVERPLACE BLVD JACKSONVILLE, FL 32207	Â	Â	EVP & Chief Merchandising Off.	Â	

Signatures

/s/ William A.
Moll

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares acquired pursuant to Stein Mart, Inc. 2001 Omnibus Plan. The shares vest 100% on the seventh anniversary of the grant.
- (2) Granted pursuant to Stein Mart, Inc. 2001 Omnibus Plan. The options have a 10-year term and vest over 5 years with 33% on the third anniversary, 33% on the fourth anniversary and 34% on the fifth anniversary.
- (3) Granted pursuant to Stein Mart, Inc. 2001 Omnibus Plan. The options have a 7 year term and vest over 5 years with 33% on the third anniversary, 33% on the fourth anniversary and 34% on the fifth anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2