Heritage Insurance Holdings, Inc.

Form 4

November 06, 2015

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FORM	14_{IINITED}	STATES	SECHE	OTTIES A	ND FYC	LT A NI	CF C	'OMMISSION		PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check th			V V 33.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2001200				Expires:	January 31,		
if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES							NERSHIP OF	Estimated average burden hours per response 0.5				
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type l	Responses)											
Lucas Bruce Symbol				er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
					e Holding	s, Ind	С.	(Check all applicable)				
(Last)	(First)	(Middle)		f Earliest Tr	ansaction			_X_ Director		Owner (specify		
C/O HFRIT	AGE INSURAN	JCF	(Month/E 11/04/2	•				XOfficer (give titleOther (specify below)				
	S, INC., 2600	(CL	11/04/2	013				Chai	irman & CEO			
MCCORMI	ICK DRIVE SUI	TE 300										
	(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Jo	int/Group Filir	ng(Check		
Filed(Month/Day					_X_ Form filed				One Reporting Person More than One Reporting			
CLEARWATER, FL 33759 — Form filed by More than One Reporting Person												
(City)	(State)	(Zip)					_	uired, Disposed of				
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	11/04/2015			A	750,000 (1)	A	\$0	776,155	D			
Common Stock								55,720	I	See Footnote (2)		
Common Stock								132,600	I	See Footnote (3)		
Common								22,418	I	See		

Stock Footnote

(4)

of 9. Nu

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Trans (Instr

Common See 494,612 I Footnote (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. iofNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title Amount Underly Securiti (Instr. 3	t of ving es	8. Price of Derivative Security (Instr. 5)
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title N	Number	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Lucas Bruce

C/O HERITAGE INSURANCE HOLDINGS, INC. 2600 MCCORMICK DRIVE SUITE 300 CLEARWATER, FL 33759

Signatures

/s/ Bruce Lucas 11/06/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Represents a grant of restricted stock pursuant to the Heritage Insurance Holdings, Inc. Omnibus Incentive Plan. The restricted stock vests in five equal annual installments beginning on November 4, 2016.
- (2) Shares of common stock reported on this line are held by Mr. Lucas and his wife as tenants by the entirety.
- (3) Shares of common stock reported on this line are held by IIM Holdings, LLC and IIM Holdings II, LLC, entities controlled by Mr. Lucas.
- (4) Shares of common stock reported on this line are held by Mr. Lucas' wife.
- (5) Shares of common stock reported on this line are held by the Bruce Lucas Irrevocable Grantor Retained Annuity Trust of 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.