

HOME BANCSHARES INC

Form 4

November 15, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name **and** Ticker or Trading
Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
11/10/2016

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/10/2016		S	10,000	D \$ 24.4801	121,025.9349 (1) (2)	D
Common Stock	11/11/2016		S	6,318.737	D \$ 24.8714	0 (1) (5)	I
Common Stock	11/11/2016		S	2,000	D \$ 24.83	190,412.8646 (1) (6)	I
Common Stock	11/14/2016		S	3,000	D \$ 25.5796	118,025.9349 (1)	D
						44,719.392 (1)	I

Held in
Simple
IRAOwned
solely by
wife

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Common Stock							Custodian for daughters
Common Stock				400 ⁽¹⁾		I	Held in wife's IRA
Common Stock - Restricted				4,000 ⁽¹⁾ ⁽³⁾ ⁽⁴⁾		D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 2.66 ⁽¹¹⁾							⁽⁷⁾	⁽⁷⁾	Common Stock	1,425.6 ⁽¹⁾
Stock Option	\$ 17.12 ⁽¹¹⁾							⁽⁸⁾	04/15/2025	Common Stock	20,000 ⁽¹⁾
Stock Option	\$ 2.1 ⁽¹¹⁾							12/31/2007	12/31/2017	Common Stock	1,425.6 ⁽¹⁾
Stock Option	\$ 2.46 ⁽¹¹⁾							⁽⁹⁾	⁽⁹⁾	Common Stock	2,851.2 ⁽¹⁾
Stock Option	\$ 4.3 ⁽¹¹⁾							⁽¹⁰⁾	01/09/2018	Common Stock	4,752 ⁽¹⁾

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

Engelkes Jack
P.O. BOX 966
CONWAY, AR 72033

X

Signatures

/s/Jack Engelkes by LaMonica
Johnston

11/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.
- (2) Includes 965.1229 split adjusted shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (3) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (5) Includes 72.391 split adjusted shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (6) Includes 154.926 split adjusted shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (7) 20% of the issued option is remaining. The 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (8) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 16, 2016.
- (9) 40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2007 and expires on December 31, 2017. The last 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.
- (10) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.
- (11) The exercise price decreased and the number of shares exercisable increased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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