#### GORDON MITCHELL I

Form 3

August 09, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MESA AIR GROUP INC [MESA] À GORDON MITCHELL I (Month/Day/Year) 08/09/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 410 N. 44TH STREET, SUITE (Check all applicable) 700 (Street) 6. Individual or Joint/Group 10% Owner \_X\_\_ Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person PHOENIX, AZÂ 85008 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 1,488 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Title	Derivative Security	Security:	

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	01/16/2019	(1)	Common Stock	14,648	\$ 0	D	Â
Stock Appreciation Rights	04/21/2016(2)	07/20/2025	Common Stock	25,000	\$ 6.4	D	Â
Stock Appreciation Rights	01/19/2017(3)	01/18/2026	Common Stock	25,000	\$ 7.1	D	Â
Stock Appreciation Rights	01/17/2018(4)	01/16/2027	Common Stock	27,381	\$ 5.5	D	Â
Restricted Stock Award	01/23/2018	(5)	Common Stock	2,978	\$ 0	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>F-</b>	Director	10% Owner	Officer	Other	
GORDON MITCHELL I 410 N. 44TH STREET, SUITE 700 PHOENIX, AZ 85008	ÂX	Â	Â	Â	

# **Signatures**

/s/ Mitchell I.
Gordon

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, on a one-for-one basis, common stock of the Company. The restricted stock units shall vest annually in equal one-third increments beginning on January 16, 2019, until fully vested.
- (2) Cash-settled stock appreciation rights.
- (3) Cash-settled stock appreciation rights, of which 8,335 shares remain unvested.
- (4) Cash-settled stock appreciation rights, of which 18,253 shares remain unvested.
- (5) The restricted stock award vests as follows: Annually in equal one-third increments beginning on January 23, 2018, until fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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