#### MAPES CHRISTOPHER L

Form 4

February 10, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

January 31,

Expires:

2005

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subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MAPES CHRISTOPHER L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

SMITH A O CORP [AOS] 3. Date of Earliest Transaction

(Month/Day/Year)

02/09/2011

(Check all applicable)

Director X\_ Officer (give title

10% Owner Other (specify

below) **Executive Vice President** 

A. O. SMITH ELECTRICAL PRODUCTS COMPANY, 531 **NORTH 4TH STREET** 

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TIPP CITY, OH 45371-1899

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit bor Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/09/2011		M	46,350	` ′	\$ 15.987	80,290	D	
Common Stock	02/09/2011		S	400	D	\$ 41.56	79,890	D	
Common Stock	02/09/2011		S	2,200	D	\$ 41.57	77,690	D	
Common Stock	02/09/2011		S	1,400	D	\$ 41.58	76,290	D	
Common Stock	02/09/2011		S	1,900	D	\$ 41.59	74,390	D	

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Common Stock	02/09/2011	S	2,322	D	\$ 41.6	72,068	D
Common Stock	02/09/2011	S	6,093	D	\$ 41.61	65,975	D
Common Stock	02/09/2011	S	3,100	D	\$ 41.62	62,875	D
Common Stock	02/09/2011	S	3,428	D	\$ 41.63	59,447	D
Common Stock	02/09/2011	S	1,900	D	\$ 41.64	57,547	D
Common Stock	02/09/2011	S	3,000	D	\$ 41.65	54,547	D
Common Stock	02/09/2011	S	1,700	D	\$ 41.66	52,847	D
Common Stock	02/09/2011	S	1,200	D	\$ 41.67	51,647	D
Common Stock	02/09/2011	S	100	D	\$ 41.68	51,547	D
Common Stock	02/09/2011	S	100	D	\$ 41.69	51,447	D
Common Stock	02/09/2011	S	2,300	D	\$ 41.7	49,147	D
Common Stock	02/09/2011	S	800	D	\$ 41.71	48,347	D
Common Stock	02/09/2011	S	100	D	\$ 41.72	48,247	D
Common Stock	02/09/2011	S	100	D	\$ 41.74	48,147	D
Common Stock	02/09/2011	S	500	D	\$ 41.75	47,647	D
Common Stock	02/09/2011	S	100	D	\$ 41.76	47,547	D
Common Stock	02/09/2011	S	500	D	\$ 41.77	47,047	D
Common Stock	02/09/2011	S	567	D	\$ 41.78	46,480	D
Common Stock	02/09/2011	S	900	D	\$ 41.79	45,580	D
Common Stock	02/09/2011	S	6,200	D	\$ 41.8	39,380	D
	02/09/2011	S	100	D		39,280	D

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Common Stock					\$ 41.805	
Common Stock	02/09/2011	S	2,100	D	\$ 41.81 37,180	D
Common Stock	02/09/2011	S	500	D	\$ 41.82 36,680	D
Common Stock	02/09/2011	S	533	D	\$ 41.83 36,147	D
Common Stock	02/09/2011	S	1,407	D	\$ 41.84 34,740	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	tive Expiration Date ties (Month/Day/Year) ted (A) toosed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 15.987	02/09/2011		M	46,350	<u>(1)</u>	09/22/2014	Common Stock	46,350

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MAPES CHRISTOPHER L A. O. SMITH ELECTRICAL PRODUCTS COMPANY 531 NORTH 4TH STREET TIPP CITY, OH 45371-1899

**Executive Vice President** 

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## **Signatures**

James F. Stern, Attorney-in-Fact for Christopher L.
Mapes

02/10/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The employee stock options were granted on 09/22/2004, under the A. O. Smith Combined Executive Incentive Compensation Plan, a
- (1) transaction exempt under rule 16b-3. The options became exercisable in three annual installments of 1/3 of the award starting on 09/22/2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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