Edgar Filing: SMITH BRUCE M - Form 5

| SMITH BRU | ICE M | | | | | | | | | | | |
|-------------------------------------------------------------------|----------------------------------------------|---------------|-------------------------------------------------------------------------------|----------------|-----------------------------------------------------------------------------------------|--------|----------|-------------------------------------------------------------|---------------------------------------|---------------------|--|--|
| Form 5 | | | | | | | | | | | | |
| January 20, 2 | 012 | | | | | | | | | | | |
| FORM | 5 | | | | | | | | | PPROVAL | | |
| | | TATES SE | | | | | GE CO | OMMISSION | OMB Number: | 3235-0362 | | |
| Check this box if no longer subject | | | Was | hington, D. | C. 2054 | 9 | | | Expires: | January 31, 2005 | | |
| to Section 16. Form 4 or Form ANNUAL ST | | | ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | Estimated a burden hou response | average Irs per | | |
| 1(b). | Filed purs ^{ldings} Section 17(a |) of the Publ | lic Uti | | g Compa | iny A | ct of | | 'n | | | |
| 1. Name and Address of Reporting Person <u>*</u> SMITH BRUCE M | | | 2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [AOS] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) | | | 3. Statement for Issuer's Fiscal Year Ended | | | | ed | (Check all applicable) | | | | |
| | | | (Month/Day/Year) | | | | | X_ Director 10% Owner Officer (give title Other (specify | | | | |
| SMITH INV | ESTMENT COM | | /31/20 | 010 | | | I | below) | below) | er (specify | | |
| _ | 0 WEST PARK I | | | | | | | | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Reporting | | | | |
| | | | | | | | | (chec | ck applicable line |) | | |
| MILWAUK | EE, WI 53224 | | | | | | | | | | | |
| | | | | | | | | _X_ Form Filed by Form Filed by Person | One Reporting P More than One R | | | |
| (City) | (State) (Z | Zip) | Table | e I - Non-Deri | vative Sec | uritie | s Acau | ired, Disposed o | f. or Beneficial | llv Owned | | |
| 1.Title of Security (Instr. 3) | ecurity (Month/Day/Year) Execution | | ıte, if | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or | | or 9) | | | 7. Nature of | | |
| Common Stock | Â | Â | | Â | Â | Â | Â | 3,973 | D (1) | Â | | |
| Common Stock | 02/03/2011 | Â | | G | 221 | A | \$0 | 19,818 | I | In trust (2) | | |
| Common Stock | 02/03/2011 | Â | | G | 113 | А | \$0 | 19,931 | Ι | In trust (2) | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. De Se (II |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|----------------------------------------|-----------------------|
| | | | | | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | \$ 0 | Â | Â | Â | Â | Â | (3) | (3) | Common Stock | 0 | |
| Class A Common Stock | \$ 0 <u>(5)</u> | 02/03/2011 | Â | G | 1,729 | Â | (6) | (7) | Common Stock | 1,729 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|-----------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| SMITH BRUCE M SMITH INVESTMENT COMPANY LLC 11270 WEST PARK PLACE MILWAUKEE, WI 53224 | ÂX | Â | Â | Â | | |
| Signatures | | | | | | |

Bruce M. Smith 01/20/2012 **Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares deferred under the A. O. Smith Corporation Directors' Deferred Compensation Plan.
- (2) The reporting person beneficially owns these shares as settlor of a revocable family trust.
- (3) The Plan permits the participant/receipient to defer receipt of the award, and Mr. Smith has made a deferral.
- (4) The Phantom Stock receives a quarterly dividend. The total amount of the dividends received was 248 shares of Phantom Stock.
- (5) 1 for 1
- (6) Convertible at any time.
- (7) None.
- (8) Gift

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Reporting Owners

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