Edgar Filing: Heideman Robert J - Form 4

Heideman Rober Form 4	rt J										
February 14, 201	12										
FORM 4			GEGU						PPROVAL		
Washington, D.C. 20549							N OMB Number:	3235-02			
Check this box if no longer							Expires:	January 3 20			
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNER Section 16. SECURITIES Form 4 or							Estimated burden hou response	urs per).5		
Form 5 obligations may continue <i>See</i> Instructio 1(b).	Section 17((a) of the I	Public U	Itility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Resp	onses)										
1. Name and Address of Reporting Person <u>*</u> Heideman Robert J			2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [AOS]			-	5. Relationship of Reporting Person(s) to Issuer				
				. Date of Earliest Transaction			(Check all applicable)				
A. O. SMITH CORPORATE TECHNOLOGY CENTER, 12100 WEST PARK PLACE			(Month/Day/Year) 02/13/2012				Director 10% Owner Officer (give title Other (specify below) below) below) Senior VP Engineering & Tech.				
(Street) 4. If Amendment. Filed(Month/Day/					Day/Year) Applicable Line) _X_ Form filed by			One Reporting P	oint/Group Filing(Check One Reporting Person fore than One Reporting		
MILWAUKEE,	, WI 53224						Person	More than One K	eporting		
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
	ransaction Date nth/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report o	n a senarate line	e for each cl	ass of sec	urities benet	ficially ow	and directly (or indirectly				
Kenniker, Report o	n a separate mit				Perso inforn requir	ns who rest nation cont ed to respo sys a curren	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					posed of, or convertible s	Beneficially Owner securities)	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative	Expiration Date	Underlying Securities	Ε

Edgar Filing: Heideman Robert J - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day,	/Year)	(Instr. 3 and	4)
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Options (Right to Buy)	\$ 45.97	02/13/2012		A	6,000	<u>(1)</u>	02/13/2022	Common Stock	6,000
Restricted Stock Units	\$ 45.97	02/13/2012		A	2,200	(2)	(2)	Common Stock	2,200

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Heideman Robert J A. O. SMITH CORPORATE TECHNOLOGY CENTER 12100 WEST PARK PLACE MILWAUKEE, WI 53224			Senior VP Engineering & Tech.		
Signatures					
James F. Stern, Attorney-in-Fact for Robert J					

James F. Steri Heideman	02/14/2012		
;	*Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The employee stock options were granted on 02/13/2012 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The options become exerciable in three annual installments of 1/3 of the award starting on 02/13/2013.
- (2) The restricted stock units were granted on 02/13/2012 under the A. O. Smith Combined Incentive Compensation Plan, a transaction exempt under Rule 16b-3. The restricted stock units become payable in Common Stock on the vesting date of 02/13/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

S ()