SMITH MARK D Form 4 August 10, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0287

January 31, Expires:

2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * SMITH MARK D

2. Issuer Name and Ticker or Trading Symbol SMITH A O CORP [AOS]

5. Relationship of Reporting Person(s) to Issuer

below)

(Last) (First)

(Street)

(Middle)

3. Date of Earliest Transaction

(Check all applicable) _X__ Director

10% Owner Officer (give title Other (specify

A. O. SMITH CORPORATION, 11270 WEST PARK PLACE

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

08/08/2012

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MILWAUKEE, WI 53224

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/08/2012		A	62 (1)	A	\$ 48.755	23,680	D	
Common Stock							8,196	I	In trust (2)
Common Stock							2,239	I	Held by spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

Edgar Filing: SMITH MARK D - Form 4

displays a currently valid OMB control number.

8. Price of Derivative Security (Instr. 5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class A Common Stock	\$ 0 (3)					<u>(4)</u>	<u>(5)</u>	Common Stock	0	
Class A Common Stock	\$ 0 (3)					<u>(4)</u>	<u>(5)</u>	Common Stock	0	

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Maress	Director	10% Owner	Officer	Other		
SMITH MARK D A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224	X					

Signatures

James F. Stern, Attorney-in-Fact for Mark D.
Smith 08/10/2012

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of portion of retainer in stock under the A. O. Smith Corporation directors' compensation program.
- (2) The reporting person beneficially owns the shares as settlor of a revocable family trust.
- (**3**) 1 for 1

Reporting Owners 2

Edgar Filing: SMITH MARK D - Form 4

- (4) Convertible at any time
- (5) None

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.