Hercules Capital, Inc. Form SC 13G February 14, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934 (Amendment No.)*

Hercules Capital, Inc. (Name of Issuer)

Preferred (Title of Class of Securities)

427096854 (CUSIP Number)

December 31, 2018 (Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No.
(See Item 2(e)) 13GPage 2 of 6
             NAME OF
             REPORTING
             PERSON
1.
             RIVERNORTH
             CAPITAL
             MANAGEMENT,
             LLC
             CHECK
             THE
             APPR@PRIATE
             BOX
2.
             IF A (b)
             MEMBER
             OF A
             GROUP
             SEC USE ONLY
3.
             CITIZENSHIP OR
             PLACE OF
4.
             ORGANIZATION
             DELAWARE
                 SOLE
                 VOTING
             5.
                 POWER
                 456,202
                 SHARED
                 VOTING
NUMBER OF
                 POWER
SHARES
BENEFICIALLY
                 0
OWNED BY
                 SOLE
EACH
                 DISPOSITIVE
REPORTING
                 POWER
PERSON WITH: 7.
                 456,202
                 SHARED
                 DISPOSITIVE
             8.
                 POWER
                 0
9.
             AGGREGATE
```

AMOUNT BENEFICIALLY

OWNED BY EACH REPORTING PERSON 456,202

CHECK BOX IF THE AGGREGATE

AMOUNT IN ROW

10. (9) EXCLUDES CERTAIN SHARES

PERCENT OF

CLASS

REPRESENTED BY

11. AMOUNT IN ROW

(9)

15.21% TYPE OF REPORTING

12. PERSON

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CUSIP No.
(See Item 2(e)) 13GPage 3 of 6
             NAME OF REPORTING
             PERSON
1.
             RIVERNORTH/DOUBLELINE
             STRATEGIC INCOME FUND
             CHECK
             THE
             APPROPRIATE
             BOX IF
2.
                    (b)
             MEMBER
             OF A
             GROUP
             SEC USE ONLY
3.
             CITIZENSHIP OR PLACE OF
             ORGANIZATION
4.
             MARYLAND
                    SOLE VOTING
                    POWER
             5.
                    456,202
                    SHARED VOTING
                    POWER
NUMBER OF
             6.
SHARES
BENEFICIALLY
                    0
OWNED BY
                    SOLE DISPOSITIVE
EACH
                    POWER
             7.
REPORTING
PERSON WITH:
                    456,202
                    SHARED
                    DISPOSITIVE
             8.
                    POWER
                    0
             AGGREGATE AMOUNT
             BENEFICIALLY OWNED BY
9.
             EACH REPORTING PERSON
             456,202
             CHECK BOX IF THE
             AGGREGATE AMOUNT IN
             ROW (9) EXCLUDES
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CERTAIN SHARES

10.

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11. AMOUNT IN ROW (9)

15.21%

TYPE OF REPORTING

PERSON 12.

IV

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(See Item 2(e)) 13GPage 4 of 6
CUSIP No.
Item
        Name of Issuer:
1(a).
        Hercules Capital, Inc.
         Address of Issuer's Principal Executive Offices:
Item
1(b).
        400 Hamilton Ave., Suite 310
        Palo Alto, CA 94301
Item
         Name of Person Filing:
2(a).
         RiverNorth Capital Management, LLC
        RiverNorth/DoubleLine Strategic Income Fund
         Address of Principal Business Office or, if none, Residence:
Item
2(b).
         325 N. LaSalle Street
         Suite 645
         Chicago, IL 60654-7030
Item
         Citizenship:
2(c).
        Delaware
        Maryland
Item
        Title of Class of Securities:
2(d).
        Preferred
Item
        CUSIP Number:
2(e).
        427096854
        If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is
Item 3.
              Broker or dealer registered under Section 15 of the Act (15 U.S.C. 780);
         (a)
              Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c);
         (b)
              Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c);
         (c)
              Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C.
         (d)80a-8);
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(e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);

- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of (i) the Investment Company

Act of 1940 (15 U.S.C. 80a-3);

(See Item 2(e)) 13GPage 5 of 6 CUSIP No.

- A non-U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J); (j)
- Group, in accordance with §240.13d-1(b)(1)(ii)(K). (k)

If filing as a non-U.S. institution in accordance with \$240.13d-1(b)(1)(ii)(J), please specify the type of

institution:

Ownership. Item 4.

> Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned: 456,202

(b) Percent of class: 15.21%

Number of shares as to which such person has:

(i) Sole power to vote or direct the vote: 456,202

- (ii) Shared power to vote or direct the vote: 0
- (iii) Sole power to dispose or to direct the disposition of: 456,202
- (iv) Shared power to dispose or to direct the disposition of: 0

Ownership of Five Percent or Less of a Class. Item 5.

Not applicable.

Ownership of More than Five Percent on Behalf of Another Person. Item 6.

> Other persons have the right to receive the proceeds from the sale of the securities reported herein.

Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Item 7. Person.

Not applicable.

Identification and Classification of Members of the Group. Item 8.

Not applicable.

Item 9. Notice of Dissolution of Group.

Not applicable.

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

CUSIP No. (See Item 2(e)) 13G Page 6 of 6

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 14, 2019 Date

/s/Marcus Collins Signature

Marcus Collins, Chief Compliance Officer and General Counsel Name and Title