

SNIVELY JOSHUA A

Form 4

March 20, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SNIVELY JOSHUA A2. Issuer Name **and** Ticker or Trading
Symbol
FLOTEK INDUSTRIES INC/CN/
[FTK]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
10603 W. SAM HOUSTON PKWY
N., SUITE 3003. Date of Earliest Transaction
(Month/Day/Year)
03/16/2018☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) EVP Operations(Street)
HOUSTON, TX 770644. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/16/2018		A	(A) or (D) Amount 75,000 (1) Price \$ 6.13	134,881	D	
Common Stock	03/16/2018		A	(A) or (D) Amount 49,000 (2) Price \$ 6.13	183,881	D	

Common
Stock

132,503

I

By Joshua
A. Snively,
Sr. and
Heather B.
Snively
Revocable
Trust ⁽³⁾

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Common
Stock

128,916 I

By Joshua
A. Snively,
Sr.
Irrevocable
Trust ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SNIVELY JOSHUA A
10603 W. SAM HOUSTON PKWY N.
SUITE 300
HOUSTON, TX 77064

EVP Operations

Signatures

/s/ Joshua A.
Snively, Sr. 03/20/2018

 Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Award grant of 75,000 shares at \$6.13 per share which vest ratably on December 31, 2018, 2019, and 2020.
- (2) Acquisition of 49,000 shares from the 2018 Performance Bonus Plan at the closing price of \$6.13 per share.
- (3) Mr. Snively is a trustee and beneficiary of the named trusts. Mr. Snively disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- (4) Mr. Snively, Sr.'s spouse is a trustee and beneficiary of the named trust. Mr. Snively, Sr. disclaims beneficial ownership of such shares except to the extent of his pecuniary interests therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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