

RODMAN & RENSHAW CAPITAL GROUP, INC.  
Form 8-K  
February 02, 2012

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**FORM 8-K**

**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported): February 2, 2012

**RODMAN & RENSHAW CAPITAL GROUP, INC.**

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(Exact name of Registrant as specified in its charter)

Delaware

001-33737

84-1374481

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(State or other jurisdiction  
of incorporation)

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(Commission  
File Number)

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(IRS Employer  
Identification No.)

1251 Avenue of the Americas, New York, New York

10020

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(Address Of Principal Executive Office)

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(Zip Code)

Registrant's telephone number, including area code (212) 356-0500

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(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



**Item 8.01. Other Events.**

On February 2, 2012, Rodman & Renshaw Capital Group, Inc. (Nasdaq: RODM) issued a press release announcing the launch of its new DirectMarkets business, and the hiring of Kevin Lupowitz to lead the initiative. A copy of the press release is attached hereto as Exhibit 99.1.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits

| <u>Exhibit<br/>No.</u> | <u>Description</u>                    |
|------------------------|---------------------------------------|
| 99.1                   | Press release dated February 2, 2012. |

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: February 2, 2012

Rodman & Renshaw Capital Group, Inc.

By: /s/ David J.  
Horin

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David J. Horin  
Chief Financial Officer

**Exhibit Index**

**Exhibit  
Number**

**Description**

99.1 Press release dated February 2, 2012.

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