

Edgar Filing: DATA RACE INC - Form 4

DATA RACE INC  
Form 4  
February 12, 2001

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/ OMB APPROVAL /  
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| FORM 4 |  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form 5  
obligations may  
continue. See  
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

Frohman Bradley  
-----  
(Last) (First) (Middle)  
6509 Windcrest, Suite 120  
-----  
(Street)  
Plano Texas 75024  
-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol DATA RACE, Inc. ("Race")  
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3. I.R.S. Identification Number of Reporting Person, if an entity  
(voluntary)  
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4. Statement for Month/Year 12/2000  
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5. If Amendment, Date of Original (Month/Year)  
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6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

\_\_\_ Director X Officer \_\_\_ 10% Owner \_\_\_ Other  
--- (give title below) (specify below)

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Vice President of Engineering and Development  
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7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person

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Form filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a)		
			Code	V	Amount		(A) or (D)	Price
Common Stock	12/22/00	P			1,000	A	\$1.16	
Common Stock	12/22/00	P			500	A	\$1.19	1,500

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/	4. Transaction Code (Instr. 8)
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Deriv-  
ative  
Security

Day/  
Year)

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Code

V

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

6. Date Exer-  
cisable and  
Expiration  
Date  
(Month/Day/  
Year)

7. Title and Amount of  
Underlying Securities  
(Instr. 3 and 4)

8. Price  
of  
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(Instr.  
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9. Numbe  
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Date Expira-  
Exer- tion  
cisable Date

Title Amount or  
Number of  
Shares

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Explanation of Responses:

/s/ Bradley Frohman	2/9/01
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**Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If this form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.