

Edgar Filing: SHAW THOMAS J - Form 4

SHAW THOMAS J
Form 4
July 29, 2002

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/ OMB APPROVAL /
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| FORM 4 |
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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form 5
obligations may
continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person*

Shaw, Thomas J.

(Last) (First) (Middle)

511 Lobo Lane

(Street)

Little Elm, Texas 75068-0009

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol Retractable Technologies, Inc. RVP

3. I.R.S. Identification Number of Reporting Person, if an entity
(voluntary)

4. Statement for Month/Year June / 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

X Director X Officer X 10% Owner Other
--- (give title below) ---
(specify below)

President, Chief Executive Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a) |
|---------------------------------|--------------------------------------|--------------------------------|---|---|-------------------|---|
| | | | Code | V | Amount (A) or (D) | |
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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | |
|--|--|--------------------------------------|--------------------------------|---|
| | | | Code | V |
| Series V Class B Convertible Preferred Stock | | 6/29/02 | P | |
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Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 6. Date Exercisable and | 7. Title and Amount of Underlying Securities | 8. Price of | 9. Number of De |
|-------------------------|--|-------------|-----------------|
|-------------------------|--|-------------|-----------------|

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| Expiration Date (Month/Day/Year) | (Instr. 3 and 4) | Deriv- ative Secur- ity (Instr. 5) | Deriv- ative Secur- ities Bene- ficia- lly Owned at En- d of Month (Inst |
|-------------------------------------|-------------------------|---|---|
| Date | Expira- tion Date | Title | Amount or Number of Shares |
| | | Common stock | 25,000 |
| | | | \$4 |
| | | | 50,0 |

Explanation of Responses:

/s/ Thomas J. Shaw

July 26, 2002

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If this form is filed by more than one reporting person, see Instruction 4(b) (v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.