

EQUINIX INC

Form 4

November 28, 2007

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
STARK MICHAEL J

(Last) (First) (Middle)

TWO EMBARCADERO
CENTER, SUITE 2200

(Street)

SAN FRANCISCO, CA 94111

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EQUINIX INC [EQIX]

3. Date of Earliest Transaction
(Month/Day/Year)
11/26/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)

Affiliate of Director

6. Individual or Joint/Group Filing(Check
Applicable Line)
☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/26/2007		S	15,705 D	\$ 101.3495 347,797	I	See Notes <u>(1)</u> <u>(2)</u> <u>(3)</u> <u>(4)</u>
Common Stock	11/27/2007		S	1,500 D	\$ 100.51 346,297	I	See Notes <u>(1)</u> <u>(2)</u> <u>(3)</u> <u>(4)</u>
Common Stock	11/27/2007		J ⁽⁵⁾	9,781 D	\$ 0 ⁽⁵⁾ 49,639	D	
Common Stock	11/27/2007		J ⁽⁶⁾	4,000 A	\$ 0 ⁽⁶⁾ 7,314	I	See Note <u>(6)</u>
	11/27/2007		J ⁽⁷⁾	5,541 A	\$ 0 ⁽⁷⁾ 11,082	D	

Common
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STARK MICHAEL J TWO EMBARCADERO CENTER SUITE 2200 SAN FRANCISCO, CA 94111	X			Affiliate of Director
Feuille James TWO EMBARCADERO SUITE 2200 SAN FRANCISCO, CA 94111	X			Affiliate of Director
Finnie Charles H TWO EMBARCADERO CENTER SUITE 2200 SAN FRANCISCO, CA 94111	X			Affiliate of Director
Alain S Harrus TWO EMBARCADERO CENTER SUITE 2200 SAN FRANCISCO, CA 94111	X			Affiliate of Director

HROMADKO GARY 301 VELOCITY WAY 5TH FLOOR FOSTER CITY, CA 94404	X	Affiliate of Director
--	---	-----------------------

MacNaughton Bruce TWO EMBARCADERO CENTER SUITE 2200 SAN FRANCISCO, CA 94111	X	Affiliate of Director
--	---	-----------------------

Rip Peter D TWO EMBARCADERO CENTER SUITE 2200 SAN FRANCISCO, CA 94111	X	Affiliate of Director
--	---	-----------------------

Signatures

Michael J. Stark	11/28/2007
------------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

James Feuille	11/28/2007
---------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Charles H. Finnie	11/28/2007
----------------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Alain Harrus	11/28/2007
--------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Gary Hromadko	11/28/2007
---------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Bruce McNaughton	11/28/2007
---------------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Peter D. Rip	11/28/2007
--------------	------------

**Signature of Reporting Person	Date
------------------------------------	------

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting persons (the "Reporting Persons") are Crosslink Capital, Inc. ("Crosslink"), Crossover Fund III Management, L.L.C. ("Crossover III Management"), Crosslink Ventures IV Holdings, L.L.C. ("Ventures IV Holdings"), Crosslink Verwaltungs GmbH

Edgar Filing: EQUINIX INC - Form 4

("Verwaltungs"), Michael J. Stark, James Feuille, David I. Epstein, Daniel John Dunn, Thomas Edward Bliska, Charles Finnie, Peter D. Rip, Alain S. Harrus, Bruce MacNaughton and Gary Hromadko. Crosslink is an investment adviser to investment funds of which Crossover III Management, Ventures IV Holdings or Verwaltungs is the general partner, manager or the holder of Class B Units, and other investment funds. Crossover III Management is also the investment adviser to investment funds.

- (2) Mr. Stark is the control person of Crosslink and Verwaltungs. Mr. Stark, Mr. Bliska and Mr. Dunn are the control persons of Crossover III Management. Mr. Stark, Mr. Bliska, Mr. Dunn, Mr. Epstein, Mr. Feuille, Mr. Finnie, Mr. Rip, Mr. Harrus and Mr. MacNaughton are the control persons of Ventures IV Holdings. Mr. Hromadko is a member of the Issuer's board of directors and serves as the representative of the Reporting Persons.

- (3) The Reporting Persons are filing this Form 4 jointly, but not as a group, and each of them expressly disclaims membership in a group, within the meaning of Rule 13d-5(b) under the Securities Exchange Act of 1934. These securities are held directly by investment funds to which Crosslink or Crossover III Management is investment adviser (the "Funds") for the benefit of the investors in the Funds.

- (4) These securities are indirectly beneficially owned by Crosslink or Crossover III Management as the investment adviser to the Funds, by Crossover III Management, Ventures IV Holdings and Verwaltungs as the general partner, manager or holder of Class B Units of the Funds, and by Mr. Stark, Mr. Feuille, Mr. Epstein, Mr. Bliska and Mr. Dunn as the various control persons of those entities. The Reporting Persons disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

- (5) On November 27, 2007, certain of the Funds distributed these securities pro rata to their investors for no additional consideration, which securities previously were reported as indirectly beneficially owned by the Reporting Persons. The zero in Column 4 is a placeholder only that is required by the EDGAR software.

- (6) These securities were received by the Stark Family Trust, of which Mr. Stark is Trustee and a beneficiary, for no consideration in the pro rata distribution described in Note 5. The zero in Column 4 is a placeholder only that is required by the EDGAR software.

- (7) These securities were received by Mr. Bliska for no consideration in the pro rata distribution described in Note 5. The zero in Column 4 is a placeholder only that is required by the EDGAR software.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.