

Edgar Filing: GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC - Form 4

GABELLI CONVERTIBLE & INCOME SECURITIES FUND INC

Form 4

February 27, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*

Colavita, Anthony J.

2. Issuer Name and Ticker or Trading Symbol

Gabelli Convertible & Income Sec. Fund/GCV

6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

☒ Director ☐ 10% owner

☐ Officer (give ☐ Other (specify  
title below) below)

(Last) (First) (Middle)

ONE CORPORATE CENTER

3. I.R.S Identification

Number of Reporting  
Person, if an entity  
(Voluntary)

4. Statement for

Month/Day/Year

Nov. 12, 2002

Feb. 11, 2003

(Street)

RYE NY 10580-1422

5. If Amendment,

Date of

Original

Month/Day/Year

7. Individual or Joint/Group Filing

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(Check Applicable Line)

  X   Form filed by One Reporting Person  
       Form filed by More than One Reporting Person  
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security

(Instr. 3)

2.

Trans-  
action

Date

2A.

Deemed

Execu-

Tion

Date,

if any

3. Trans-

action

Code

(Instr

.. 8)

4. Securities Acquired (A)

or Disposed of (D)

(Instr. 3, 4 and 5)

5. Amount of

Securities

Beneficially

Owned Follow-

ing Reported

Transaction

(s)

(Instr. 3 and

4)

6. Owner-

ship

Form:

Direct

(D) or

Indirect

(I)

(Instr. 4)

7. Nature

of In-

direct

Bene-

Ficial

Owner-

Ship

(Instr.

4)

(Month/

Day/

Year)

Code

V

Amount

(A) or  
(D)

Price

8.00% Preferred Stock, Par Value \$0.001

11/12/0

2

D

400

D

\$25.2555

400

I

Spouse

2/11/03

D

400

D

\$25.25

0

I

Spouse

Common Stock, Par Value \$0.001

10,991

D

27,154

I

Spouse

261  
I  
Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or  
\* If the form is filed by more than one reporting person, See Instruction 4(b)(v).

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1.  
Title of  
Derivative  
Security  
(Instr. 3)  
2.  
Conve  
r-  
sion  
or  
Exerc  
ise  
Price  
of  
Deriv  
-  
ative

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Secur  
ity  
3.  
Trans-  
Action  
Date

(Month/D  
ay/  
Year)

3A.  
Deemed  
Execu-  
tion  
Date, if  
any

(Month/D  
ay/  
Year)

4.  
Trans-  
action  
Code

(Instr.  
8)  
5.  
Number of  
Derivative  
Securities  
Acquired  
(A)  
Or Disposed  
Of (D)

(Instr. 3,  
4 and 5)  
6.  
Date Exer-  
cisable and  
Expiration  
Date

(Month/Day/  
Year)  
7.  
Title and  
Amount of  
Underlying  
Securities

8.  
Price  
of Deriv-  
ative

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Security

(Instr.  
5)  
9.  
Number  
of Deriv-  
ative  
Secur-  
ities  
Bene-  
ficially  
Owned  
Following  
Reported  
Transaction  
(s)

(Instr. 4)  
10.  
Owner-  
ship Form  
Of De-  
rivative  
Security:  
Direct  
(D) or  
Indirect  
(I)

(Instr. 4)  
11.  
Nature  
of In-  
direct  
Bene-  
ficial  
Owner-  
Ship

(Instr.  
4)

Code

V

(A  
)

(D)  
Date  
Exer-  
cisable  
Expira-  
tion  
Date  
Title  
Amount  
or  
Number  
of  
Shares



Explanation of Responses:

/s/ Bruce N. Alpert

2/27/03

\*\* Intentional misstatements or omissions of

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facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.

If space provided is insufficient, see Instruction 6 for procedure.

\*\* Signature of Reporting Person

Anthony J. Colavita

By: Bruce N. Alpert

Attorney-in-Fact

Date

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