

DARNIS GERAUD

Form 4

January 26, 2005

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DARNIS GERAUD

2. Issuer Name **and** Ticker or Trading  
Symbol

UNITED TECHNOLOGIES CORP  
/DE/ [UTX]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

UNITED TECHNOLOGIES  
CORPORATION, ONE  
FINANCIAL PLAZA

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/24/2005

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_\_ Other (specify below)  
President, Carrier Corporation

HARTFORD, CT 06101

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	01/24/2005		M		20,000	A \$ 36.5625	37,998.148	D	
Common Stock	01/24/2005		F		7,387	D \$ 99	30,611.148	D	
Common Stock	01/24/2005		F		4,244	D \$ 99	26,367.148	D	
Common Stock	01/24/2005		M		4,000	A \$ 36.5625	30,367.148	D	
	01/24/2005		F		1,478	D \$ 99	28,889.148	D	

# Edgar Filing: DARNIS GERAUD - Form 4

Common  
Stock

Common Stock	01/24/2005	F	1,046	D	\$ 99	27,843.148	D
-----------------	------------	---	-------	---	-------	------------	---

Common Stock	01/25/2005	S	2,045	D	\$ 100.52	25,798.148	D
-----------------	------------	---	-------	---	-----------	------------	---

Common Stock	01/25/2005	S	7,800	D	\$ 100.45	17,998.148 <u>(1)</u>	D
-----------------	------------	---	-------	---	-----------	--------------------------	---

Common Stock						3,102.494	I	By Savings Plan Trustee
-----------------	--	--	--	--	--	-----------	---	----------------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Num of S
Non-Qualified Stock Option (right to buy)	\$ 36.5625	01/24/2005		M		20,000		01/02/2001	01/01/2008	Common Stock	20
Non-Qualified Stock Option (right to buy)	\$ 36.5625	01/24/2005		M		4,000		01/02/2001	01/01/2008	Common Stock	4,

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DARNIS GERAUD UNITED TECHNOLOGIES CORPORATION			President, Carrier	

ONE FINANCIAL PLAZA  
HARTFORD, CT 06101

Corporation

## Signatures

By: /s/ Charles F. Hildebrand as  
Attorney-in-Fact

01/26/2005

\_\_\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person also directly owns 2,112 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.