

BEZOS JEFFREY P  
Form 4  
November 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

OMB  
Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BEZOS JEFFREY P

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/01/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)               | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|---|--------------------------------------|---|--|---|---|
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/01/2017                              |   | S <sup>(1)</sup>                     | 5,100 D   | \$<br>1,099.7726<br>(2)  | 79,891,433 D  |   |
| Common<br>Stock, par<br>value<br>\$.01 per<br>share | 11/01/2017                              |   | S <sup>(1)</sup>                     | 51,375 D  | \$<br>1,101.1047<br>(3)  | 79,840,058 D  |   |
| Common<br>Stock, par                                | 11/01/2017                              |   | S <sup>(1)</sup>                     | 67,349 D  | \$<br>1,101.9458   | 79,772,709 D  |   |

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|            |            |                  |        |   |            |            |   |
|------------|------------|------------------|--------|---|------------|------------|---|
| value      |            |                  |        |   | (4)        |            |   |
| \$.01 per  |            |                  |        |   |            |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 79,121 | D | 1,102.8852 | 79,693,588 | D |
| \$.01 per  |            |                  |        |   | (5)        |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 68,612 | D | 1,103.9384 | 79,624,976 | D |
| \$.01 per  |            |                  |        |   | (6)        |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 65,110 | D | 1,104.9956 | 79,559,866 | D |
| \$.01 per  |            |                  |        |   | (7)        |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 16,315 | D | 1,105.7533 | 79,543,551 | D |
| \$.01 per  |            |                  |        |   | (8)        |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 24,481 | D | 1,106.9237 | 79,519,070 | D |
| \$.01 per  |            |                  |        |   | (9)        |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 13,012 | D | 1,107.918  | 79,506,058 | D |
| \$.01 per  |            |                  |        |   | (10)       |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/01/2017 | S <sup>(1)</sup> | 635    | D | 1,108.7546 | 79,505,423 | D |
| \$.01 per  |            |                  |        |   | (11)       |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     |            |                  |        |   |            |            |   |
| Stock, par |            |                  |        |   | \$         |            |   |
| value      | 11/02/2017 | S <sup>(1)</sup> | 3,473  | D | 1,087.8337 | 79,501,950 | D |
| \$.01 per  |            |                  |        |   | (12)       |            |   |
| share      |            |                  |        |   |            |            |   |
| Common     | 11/02/2017 | S <sup>(1)</sup> | 13,157 | D | \$         | 79,488,793 | D |
| Stock, par |            |                  |        |   | 1,088.6783 |            |   |
| value      |            |                  |        |   | (13)       |            |   |

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

19,561 D

\$

1,089.7586

79,469,232 D

(14)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

11,122 D

\$

1,090.9141

79,458,110 D

(15)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

11,864 D

\$

1,092.1637

79,446,246 D

(16)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

15,214 D

\$

1,093.3235

79,431,032 D

(17)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

21,642 D

\$

1,094.1041

79,409,390 D

(18)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

69,445 D

\$

1,095.2623

79,339,945 D

(19)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

61,495 D

\$

1,096.0773

79,278,450 D

(20)

\$.01 per  
share

Common  
Stock, par

value 11/02/2017

S<sup>(1)</sup>

44,413 D

\$

1,097.1839

79,234,037 D

(21)

\$.01 per  
share

Common  
Stock, par

value

\$.01 per

11/02/2017

S<sup>(1)</sup>

64,209 D

\$

1,098.1703

79,169,828 D

(22)

share

Common  
Stock, par  
value 11/02/2017  
\$.01 per  
share

S<sup>(1)</sup> 35,784 D \$  
1,099.1325 79,134,044 D  
(23)

Common  
Stock, par  
value 11/02/2017  
\$.01 per  
share

S<sup>(1)</sup> 14,517 D \$  
1,100.3021 79,119,527 D  
(24)

Common  
Stock, par  
value 11/02/2017  
\$.01 per  
share

S<sup>(1)</sup> 5,160 D \$ 1,101.017  
(25) 79,114,367 D

Common  
Stock, par  
value 11/02/2017  
\$.01 per  
share

S<sup>(1)</sup> 54 D \$ 1,101.93 79,114,313 D

Common  
Stock, par  
value 11/03/2017  
\$.01 per  
share

S<sup>(1)</sup> 14,129 D \$  
1,089.4376 79,100,184 D  
(26)

Common  
Stock, par  
value 11/03/2017  
\$.01 per  
share

S<sup>(1)</sup> 80,871 D \$  
1,090.2748 79,019,313 D  
(27)

Common  
Stock, par  
value 11/03/2017  
\$.01 per  
share

S<sup>(1)</sup> 66,202 D \$  
1,091.4497 78,953,111 D  
(28)

Common  
Stock, par  
value 11/03/2017  
\$.01 per  
share

S<sup>(1)</sup> 51,673 D \$ 1,092.333  
(29) 78,901,438 D

Common  
Stock, par  
value 11/03/2017  
\$.01 per  
share

S<sup>(1)</sup> 4,905 D \$  
1,093.4336 78,896,533 D  
(30)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                             |       |
|---|---------------|-----------|-----------------------------|-------|
|   | Director      | 10% Owner | Officer                     | Other |
| BEZOS JEFFREY P<br>P.O. BOX 81226<br>SEATTLE, WA 98108-1226 | X             | X         | Chairman, CEO and President |       |

## Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief  
Executive Officer and President

11/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.26 and the lowest price at which shares were sold was \$1,099.30.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.36 and the lowest price at which shares were sold was \$1,100.40.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,102.40 and the lowest price at which shares were sold was \$1,101.41.
- (5)

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Represents the weighted average sale price. The highest price at which shares were sold was \$1,103.40 and the lowest price at which shares were sold was \$1,102.41.

- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,104.40 and the lowest price at which shares were sold was \$1,103.41.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$1,105.41 and the lowest price at which shares were sold was \$1,104.42.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$1,106.39 and the lowest price at which shares were sold was \$1,105.42.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$1,107.35 and the lowest price at which shares were sold was \$1,106.43.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.45 and the lowest price at which shares were sold was \$1,107.55.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.92 and the lowest price at which shares were sold was \$1,108.71.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$1,088.26 and the lowest price at which shares were sold was \$1,087.35.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.23 and the lowest price at which shares were sold was \$1,088.37.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.45 and the lowest price at which shares were sold was \$1,089.46.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.39 and the lowest price at which shares were sold was \$1,090.46.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.50 and the lowest price at which shares were sold was \$1,091.63.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.65 and the lowest price at which shares were sold was \$1,092.67.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$1,094.65 and the lowest price at which shares were sold was \$1,093.67.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$1,095.64 and the lowest price at which shares were sold was \$1,094.67.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$1,096.66 and the lowest price at which shares were sold was \$1,095.68.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$1,097.67 and the lowest price at which shares were sold was \$1,096.69.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$1,098.67 and the lowest price at which shares were sold was \$1,097.70.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$1,099.65 and the lowest price at which shares were sold was \$1,098.70.
- (24) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.71 and the lowest price at which shares were sold was \$1,099.72.
- (25) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.64 and the lowest price at which shares were sold was \$1,100.73.
- (26) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.97 and the lowest price at which shares were sold was \$1,088.98.
- (27) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.94 and the lowest price at which shares were sold was \$1,089.98.
- (28) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.97 and the lowest price at which shares were sold was \$1,090.98.

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- (29) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.84 and the lowest price at which shares were sold was \$1,091.99.
- (30) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.84 and the lowest price at which shares were sold was \$1,093.02.

### Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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