Edgar Filing: NORTHEAST UTILITIES - Form 4

NORTHEAS	ST UTILITIES	5										
Form 4												
January 20, 2	2015											
FORM			CECUD		ND EV(COMMISSION	r	PPROVAL		
	UNITE	LD STATES		hington,			NGE	COMMISSION	OMB Number:	3235-0287		
Check the	ter								Expires:	January 31,		
-	if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OW						NERSHIP OF	Estimated	2005 average			
Section 1	tion 16. SECURITIES								burden hours per			
Form 4 o Form 5		en e	Section 14	f(a) = f(b)	. Coouriti	on Er	rahan	a = 1024	response	. 0.5		
obligation		•					-	ge Act of 1934, f 1935 or Sectio	n			
may cont <i>See</i> Instru 1(b).	inue.		of the Inv	•	•	· ·			11			
(Print or Type F	Responses)											
CLARKESON JOHN S Sym				2. Issuer Name and Ticker or Trading Symbol NORTHEAST UTILITIES [NU]				5. Relationship of Reporting Person(s) to Issuer				
				3. Date of Earliest Transaction				(Check all applicable)				
(Last)	(1 1131)	(Wildule)	(Month/D		ansaction			Director	109	% Owner		
C/O NORTI CADWELL	HEAST UTIL , DRIVE	ITIES, 300	01/15/20	-					title <u>X</u> Otl below) Trustee			
	(Street)		4. If Ame	ndment, Da	te Original			6. Individual or Jo	oint/Group Fili	ng(Check		
				ed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
SEKINOFIE	LD, MA 010	04						Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execution any	emed 3. 4. Securities on Date, if TransactionAcquired (A) or Code Disposed of (D) Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price) 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial				
Common				Coue v	Amount	(D)	Thee					
Shares,	01/15/2015			٨	1,863	٨	\$ 0	24 035 (2)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

А

01/15/2015

\$5.00 par

value

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

D

\$ 0 24,035 <u>(2)</u>

А

(1)

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relations			
	Director	10% Owner Office		Other	
CLARKESON JOHN S C/O NORTHEAST UTILITIES 300 CADWELL DRIVE SPRINGFIELD, MA 01104				Trustee	
Signatures					
/s/ Kerry J. Tomasevich, attorney Clarkeson	or Mr.	01/20/2015			
**Signature of Reporting		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share units that vested on January 16, 2015, receipt of which has been deferred. Distribution will be made on a one-for-one basis in Common Shares on the 10th business day of January of the year following the reporting person's retirement from Board services.
- (2) Includes restricted share units and dividend equivalents thereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.