#### FIDELITY SOUTHERN CORP

Form 4

January 23, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BUCHANAN DAVID** Issuer Symbol FIDELITY SOUTHERN CORP (Check all applicable) [LION] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_\_X\_\_ Other (specify (Month/Day/Year) below) below) 3490 PIEDMONT ROAD, #1550 01/19/2017 Vice President / Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30305 Person

						1	CISOII		
(City)	(State) (Z	Zip) Table	I - Non-D	erivative S	ecurit	ties Acqui	ired, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Fidelity Southern Corporation - Common Stock	01/19/2017		F <u>(1)</u>	10,838	D	\$ 22.91	229,895.9957	D	
Fidelity Southern Corporation - Common Stock							28,709	I	By 401(k)
Fidelity Southern							3,467	I	Ira/401(k) Rollover

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Corporation Account

- Common

1. Title of 2.

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date

3. Transaction Date 3A. Deemed

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6. Date Exercisable and

(9-02)

7. Title and Amount of

**Underlying Securities** 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

5.

Security	or Exercise	any		Code	of	(Month/Day/Year	<b>:</b> )	(Instr. 3 and 4)	
(Instr. 3)	Price of	(Mont)	h/Day/Year)	(Instr. 8)	Derivative	e			
	Derivative				Securities				
	Security				Acquired				
					(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
									Amount
						Date Exercisable	Expiration Date	Title	or Number of
				C-1- V	(A) (D)				C1

		Code V (A) (D)	Shares
Stock Option (Right to Buy)	\$ 7.0625	Sour 12/16/1999 <u>(3)</u> 12/16/2006 Corpo - Cor	elity thern oration 5,000 mmon ock
Stock Option (Right to Buy)	\$ 18.7	Sour 02/01/2008 <u>(4)</u> 02/01/2011 Corpo - Cor	elity thern oration 5,000 mmon ock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BUCHANAN DAVID 3490 PIEDMONT ROAD, #1550 ATLANTA, GA 30305			Vice President	Executive Officer			

2 Reporting Owners

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## **Signatures**

Brittany Caylor, Attorney in Fact for David
Buchanan
01/23/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for tax obligations
- (2) Purchased 196 shares at various times and prices during 2004 in the Fidelity Southern Corporation 401(k) Plan
- (3) Variable vesting dates
- (4) Exercisable: 1/3 on 02/01/08; 1/3 on 02/01/09; 1/3 on 02/01/10

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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