Rankin Thomas Parker Form 4 April 11, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*

Rankin Thomas Parker

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First)

(Street)

5875 LANDERBROOK DRIVE

3. Date of Earliest Transaction

(Month/Day/Year) 04/09/2019

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner Officer (give title \_\_X\_ Other (specify below)

below) Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/09/2019		Code V	Amount 1 (1)	(D)	Price \$ 64.99 (2)	102	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock	04/09/2019		P	1 (1)	A	\$ 64.99 (2)	610	I	Co-trustee of trust fbo nephew. Reflects proportionate

interests in Rankin Assoc

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								VI shares
Class A Common Stock	04/09/2019	P	1 (1)	A	\$ 64.99 (2)	610	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	04/09/2019	P	2 (1)	A	\$ 64.99 (2)	514	I	Proportionate interest in Rankin Associates VI
Class A Common Stock	04/10/2019	P	1 (1)	A	\$ 64.93	611	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	04/10/2019	P	1 (1)	A	\$ 64.93 (3)	611	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock						569	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares
Class A Common Stock						761	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares
Class A Common						8,492	I	By Trust

Edgar Filing: Rankin Thomas Parker - Form 4 Stock Represents Reporting Person's Class A proportionate Common 16,134 I interest in Stock shares held by Rankin Associates II, L.P. Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction Date 3A. Deemed 4. 5. 6. Date Exercisable and 7. Title and Amount of 8. Price o **Underlying Securities** Conversion (Month/Day/Year) Execution Date, if TransactionNumber Derivative **Expiration Date** Derivativ Security or Exercise Code of (Month/Day/Year) (Instr. 3 and 4) Security any (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Derivative (Instr. 5) Derivative Securities Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount Expiration Title Date or Number Exercisable Date Code V (A) (D) of Shares Class B Class A (4) (4) Common (4) Common 569 Stock Stock

Class B

Stock

Common

<u>(4)</u>

761

Class A

Common

Stock

(4)

(4)

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Class B Common Stock	(4)		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	8,322
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	16,134

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rankin Thomas Parker 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/11/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Apr-9-Weighted Average Share Price represents average price between \$64.97 and \$64.99.
- (3) 2019-Apr-10-Weighted Average Share Price represents average price between \$64.85 and \$64.99.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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