REPLIGEN CORP

Form 4 June 10, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HERLIHY WALTER C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle) REPLIGEN CORP [RGEN]

(Check all applicable)

C/O REPLIGEN CORPORATION, 41 SEYON

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_ Officer (give title below)

10% Owner \_ Other (specify

06/06/2014

PRESIDENT, CEO

STREET SUITE 100, BUILDING 1

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WALTHAM, MA 02453

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative (	Securi	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired nsaction(A) or Disposed of (D) e (Instr. 3, 4 and 5)			5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	06/06/2014		M	20,000	A	\$ 5.87	582,432	D	
Common Stock	06/06/2014		F	5,752 (1)	D	\$ 20.41	576,680	D	
Common Stock	06/06/2014		M	10,000	A	\$ 3.33	586,680	D	
Common Stock	06/06/2014		F	1,632 (2)	D	\$ 20.41	585,048	D	
Common Stock	06/06/2014		M	21,250	A	\$ 6.23	606,298	D	

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D \$ 599,812 Common 6,486 06/06/2014 F D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 5.87 <u>(1)</u>	06/06/2014		M		20,000 (1)	06/06/2009	06/06/2018	Common Stock	20,000
Stock Option (Right to Buy)	\$ 3.33 (2)	06/06/2014		M		10,000 (2)	07/15/2011	07/15/2020	Common Stock	10,000
Stock Option (Right to Buy)	\$ 6.23 (3)	06/06/2014		M		21,250 (3)	02/28/2014	02/28/2023	Common Stock	21,250

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
and the second of the second o	Director	10% Owner	Officer	Other			
HERLIHY WALTER C C/O REPLIGEN CORPORATION 41 SEYON STREET SUITE 100, BUILDING 1 WALTHAM, MA 02453	X		PRESIDENT, CEO				

2 Reporting Owners

### **Signatures**

/s/ Jeffrey P. Leduc (Attorney in Fact) 06/10/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment made via forfeiture of 5,752 shares of Common Stock valued at the Closing Price of \$20.41 on June 6, 2014.
- (2) Payment made via forfeiture of 1,632 shares of Common Stock valued at the Closing Price of \$20.41 on June 6, 2014.
- (3) Payment made via forfeiture of 6,486 shares of Common Stock valued at the Closing Price of \$20.41 on June 6, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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