REPLIGEN CORP Form 4 March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Snodgres Jon

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

X_ Officer (give title

(Last)

C/O REPLIGEN

(City)

(First) (Middle) REPLIGEN CORP [RGEN]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Director 10% Owner

(Check all applicable)

CFO

below)

Other (specify

CORPORATION, 41 SEYON ST,

BLDG 1, STE 100

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

02/26/2016

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

WALTHAM, MA 02453

| | | 1 4010 | or mon b | ciivative | occui | ities ricq | riequired, Disposed of, of Denemerally Owned | | | |
|---------------------|---------------------|------------------------|------------|---|-------|----------------------------|--|------------------------|------------|--|
| 1.Title of | 2. Transaction Date | | 3. | 4. Securi | | • | 5. Amount of | 6. Ownership | | |
| Security (Instr. 3) | (Month/Day/Year) | Execution Date, if any | Code | on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | Securities Beneficially | Form: Direct (D) or | Indirect Beneficial | | |
| | | (Month/Day/Year) | (Instr. 8) | | | | Owned | Indirect (I) | Ownership | |
| | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | |
| | | | | | (A) | | Transaction(s) | | | |
| | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Common Stock (1) | 02/26/2016 | | A | 7,905 | A | \$0 | 18,525 | D | | |
| Common Stock | 02/29/2016 | | F | 748 (2) | D | \$ 26.05 | 17,777 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Date, if TransactionDerivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 3 (|
|---|---|---|---|--|---------|--|--------------------|---|-------------------------------------|-----|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 26.05 | 02/26/2016 | | A | 15,380 | (3) | 02/26/2026 | Common Stock | 15,380 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|---------|-------|--|--|
| Toporting of the Filmo, Filmo | Director | 10% Owner | Officer | Other | | |
| Snodgres Jon C/O REPLIGEN CORPORATION 41 SEYON ST, BLDG 1, STE 100 WALTHAM, MA 02453 | | | CFO | | | |

Signatures

/s/ Jeffrey P. Leduc (Attorney in Fact) 03/01/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Snodgres was awarded 7,905 Restricted Stock Units. Each Restricted Stock Unit represents a contingent right to receive one share of Repligen Corporation's Common Stock. The Restricted Stock Units vest in equal annual installments over a three-year period beginning on the first anniversary of the grant date. The Restricted Stock Units may be settled only by delivering shares of Repligen Corporation's Common Stock, and thus, the grant is being reported in Table 1 as allowed per SEC guidance.
- (2) Payment of tax liability made via forfeiture of 748 shares of Common Stock valued at \$26.05 per share on February 29, 2016.
- (3) The shares vest in equal annual installments over a three-year period beginning on the first anniversary of the grant date.

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