

GRAN TIERRA ENERGY INC.  
Form 3  
July 01, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |                                      |  |  |
|--|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person *            |         | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                                |  |
| Â Caldwell Ed  |         | (Month/Day/Year)                     | GRAN TIERRA ENERGY INC. [GTE]  |  |
| (Last)   | (First) | (Middle)                             | 06/24/2016   |  |
| C/O GRAN TIERRA ENERGY INC., Â 200, 150-13 AVENUE SW |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)   |         |                                      | (Check all applicable)   |  |
| CALGARY, Â A0 Â T2R 0V2                              |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)   | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|  |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|  |         |                                      | See Remarks  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
|---------------------------------|---|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of                                  |   |

|                              |       |            |              | Shares  |         | or Indirect<br>(I)<br>(Instr. 5) |   |
|------------------------------|-------|------------|--------------|---------|---------|----------------------------------|---|
| Stock Options (Right to Buy) | Â (1) | 09/10/2020 | Common Stock | 100,000 | \$ 2.27 | D                                | Â |
| Stock Options (Right to Buy) | Â (2) | 03/01/2021 | Common Stock | 25,500  | \$ 2.66 | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |               |       |
|---|---------------|-----------|---------------|-------|
|   | Director      | 10% Owner | Officer       | Other |
| Caldwell Ed<br>C/O GRAN TIERRA ENERGY INC.<br>200, 150-13 AVENUE SW<br>CALGARY, Â A0Â T2R 0V2 | Â             | Â         | Â See Remarks | Â     |

## Signatures

/s/ Diane Phillips,  
Attorney-In Fact

07/01/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the stock options will vest on 09/11/16, an additional one-third of the stock options will vest on 09/11/17 and the remaining one-third of the stock options will vest on 09/11/18
- (2) One-third of the stock options will vest on 03/02/17, an additional one-third of the stock options will vest on 03/02/18 and the remaining one-third of the stock options will vest on 03/02/19

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### Remarks:

OfficerÂ TitleÂ -Â VPÂ Health,Â SafetyÂ andÂ EnvironmentÂ &Â Corp.Â SocialÂ Responsibility.Â ExhibitÂ List:Â Exh

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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