Edgar Filing: ANNALY CAPITAL MANAGEMENT INC - Form 4

ANNALY CAPITAL MANAGEMENT INC

Form 4

March 30, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person * FARRELL MICHAEL A J

2. Issuer Name and Ticker or Trading Symbol

ANNALY CAPITAL

MANAGEMENT INC [NLY]

3. Date of Earliest Transaction

03/29/2007

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(City)

(First)

(Middle)

(Zip)

(Month/Day/Year)

_X__ Director X_ Officer (give title

10% Owner Other (specify

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Pres., Chair & CEO

C/O ANNALY CAPITAL MANAGEMENT, INC., 1211 AVENUE OF THE AMERICAS. **SUITE 2902**

(Street)

(State)

03/29/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10036

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

or (D) Price Amount

Code M 7,500 1,919,554

(A)

D

Class A

Common

Stock

Preferred 500 D Stock

Class A Preferred Stock

 $2,000^{(1)}$ I Michael Farrell C/F Talyor Carolyn

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		Edgar Filing: A	NNALY CAPIT	AL MAN	AGEMENT	INC - Form	1 4		
								Farrell	
Class A Preferred Stock					,	6,000 (1)	I	Michael Farrell C Caitlin Farrell	VF
Class A Preferred Stock					Ź	2,200 (1)	I	Michael Farrell C Michael Edward Farrell	Z/F
Reminder: R	deport on a sepa	arate line for each clas	ss of securities benefic	Person informa require	s who respond tion contained to respond as a currently	directly. nd to the colle ed in this forr unless the for valid OMB co	n are not orm	SEC 1474 (9-02)	
			tive Securities Acquuts, calls, warrants,				d		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date Und		7. Title and Underlying (Instr. 3 and	
				Codo V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of

(Instr. 3)	Price of Derivative Security	(Month/Day/	Year) (Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	, ,	ŕ		,
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase Common Stock (2)	\$ 8.13				07/31/1999	07/31/2008	Common Stock	56,900
Option to purchase Common Stock (2)	\$ 8.63				11/18/2000	11/18/2009	Common Stock	48,413
Option to purchase Common Stock (2)	\$ 7.94	03/29/2007	M	7,500	11/29/2001	11/29/2010	Common Stock	7,500
Option to purchase Common Stock (2)	\$ 17.97				08/04/2004	08/04/2013	Common Stock	200,000

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Option to purchase Common Stock (2)	\$ 17.39	04/20/2005	04/20/2014	Common Stock	150,000
Option to purchase Common Stock (2)	\$ 17.07	07/07/2006	07/07/2015	Common Stock	150,000
Option to purchase Common Stock (2)	\$ 11.72	02/13/2007	02/13/2016	Common Stock	150,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
FARRELL MICHAEL A J C/O ANNALY CAPITAL MANAGEMENT, INC. 1211 AVENUE OF THE AMERICAS, SUITE 2902 NEW YORK, NY 10036	X		Pres., Chair & CEO			

Signatures

Michael A.J.
Farrell

**Signature of Reporting Person

O3/30/2007

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- (2) Options previously granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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