WATSCO INC Form 5 January 17, 2014

## FORM 5

securities beneficially owned directly or indirectly.

#### **OMB APPROVAL**

**OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer ALVAREZ CESAR L Symbol WATSCO INC [WSO; WSOB] (Check all applicable) (First) 3. Statement for Issuer's Fiscal Year Ended (Last) (Middle) (Month/Day/Year) \_X\_ Director 10% Owner Other (specify Officer (give title 12/31/2013 below) below) 2665 S. BAYSHORE DRIVE, Â SUITE 901 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) COCONUT GROVE, Â FLÂ 33133 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) (D) Price Amount Common Â Â Â Â Â Â Â 27,657 (1) Stock Persons who respond to the collection of information Reminder: Report on a separate line for each class of SEC 2270

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

contained in this form are not required to respond unless

the form displays a currently valid OMB control number.

(9-02)

#### Edgar Filing: WATSCO INC - Form 5

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Title | e and          | 8. Price of |  |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|----------|----------------|-------------|--|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D  | ate         | Amou     | nt of          | Derivative  |  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under    | lying          | Security    |  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Securi   | ties           | (Instr. 5)  |  |
|             | Derivative  |                     |                    |             | Securities |               | (Instr.     | 3 and 4) |                |             |  |
|             | Security    |                     |                    |             | Acquired   |               |             |          |                |             |  |
|             |             |                     |                    |             | (A) or     |               |             |          |                |             |  |
|             |             |                     |                    |             | Disposed   |               |             |          |                |             |  |
|             |             |                     |                    |             | of (D)     |               |             |          |                |             |  |
|             |             |                     |                    |             | (Instr. 3, |               |             |          |                |             |  |
|             |             |                     |                    |             | 4, and 5)  |               |             |          |                |             |  |
|             |             |                     |                    |             |            |               |             |          | Amount         |             |  |
|             |             |                     |                    |             |            |               |             |          |                |             |  |
|             |             |                     |                    |             |            | Date          | Expiration  |          | or<br>Namelani |             |  |
|             |             |                     |                    |             |            | Exercisable   | Date        |          | Number         |             |  |
|             |             |                     |                    |             | (A) (D)    |               |             |          | of             |             |  |
|             |             |                     |                    |             | (A) (D)    |               |             |          | Shares         |             |  |

of D

Is Fi

# **Reporting Owners**

| Relationships |           |                   |                           |  |  |  |
|---------------|-----------|-------------------|---------------------------|--|--|--|
| irector       | 10% Owner | Officer           | Othe                      |  |  |  |
| ÂΧ            | Â         | Â                 | Â                         |  |  |  |
|               |           | irector 10% Owner | irector 10% Owner Officer |  |  |  |

## **Signatures**

/s/ Cesar L.
Alvarez

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Since the date of the reporting person's last ownership report, he transferred 27,656 shares of Common stock to his ex-wife pursuant to a domestic relations agreement. The reporting person no longer reports as beneficially owned any securities owned by his ex-wife.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2