Prothena Corp plc Form 3/A March 19, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Schenk Dale B.

(Last)

(First)

(Middle)

(Zip)

Statement

(Month/Day/Year)

12/20/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Prothena Corp plc [PRTA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 12/21/2012

(Check all applicable)

\_X\_ Director \_X\_ Officer

10% Owner Other

6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) President & CEO \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PLC, 650 GATEWAY **BOULEVARD** 

PROTHENA CORPORATION

(Street)

**SOUTH SAN** 

FRANCISCO, Â CAÂ 94080

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(State)

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Form: Direct (D) 4. Nature of Indirect Beneficial Ownership (Instr. 5)

or Indirect (I)

(Instr. 5)

Â Ordinary Shares, par value \$0.01 per share 161 (1) D

Ordinary Shares, par value \$0.01 per share 50 (1) (2) Ι **By Trust** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying

Conversion or Exercise

Ownership

6. Nature of Indirect Beneficial Ownership

Derivative Security Form of (Instr. 5)

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		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
1 8	Director	10% Owner	Officer	Other
Schenk Dale B. PROTHENA CORPORATION PLC 650 GATEWAY BOULEVARD SOUTH SAN FRANCISCO. CA 94080	ÂX	Â	President & CEO	Â

## **Signatures**

/s/ Kevin Hickey, as Attorney-in-Fact for Dale B.
Schenk
03/18/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents that number of Issuer's ordinary shares held by Reporting Person after giving effect to the distribution of Issuer's ordinary shares in connection with the spin-off of the Issuer from Elan Pharmaceuticals, Inc. ("Elan") completed on December 20, 2012. Reporting Person received one Issuer ordinary share for each 41 Elan ordinary shares or Elan American Depository Shares held by Reporting Person as of December 14, 2012, the record date for the spin off.
- (2) Shares are held by the Schenk Family Trust dated 2/9/2004, of which Reporting Person is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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