GLOBE SPECIALTY METALS INC

Form 4

January 07, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

if no longer subject to Section 16.

Check this box

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * **Bradley Jeff**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

GLOBE SPECIALTY METALS

INC [GSM]

(Check all applicable)

Chief Executive Officer

3. Date of Earliest Transaction

(Last) (First) (Middle)

(Month/Day/Year) 01/05/2015

Director 10% Owner X_ Officer (give title Other (specify below)

C/O GLOBE SPECIALTY METALS, INC., 600 BRICKELL **AVENUE, STE 1500**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

MIAMI, FL 33131

(City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. Number tionDerivative Securities) Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration (Month/D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A)	(D) Date Exercisab	Expiration e Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	01/05/2015		A	33,523	(2)	(2)	Common Stock	33,523	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bradley Jeff C/O GLOBE SPECIALTY METALS, INC. 600 BRICKELL AVENUE, STE 1500 MIAMI, FL 33131

Chief Executive Officer

De Sec (In

Signatures

/s/ Stephen Lebowitz, attorney-in-fact

01/07/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive cash in an amount equal to the fair market value of one share of common stock, \$0.0001 par value, of the company, on the date of vesting of the subject restricted stock unit.
- The restricted stock units vest according to the following schedule: (a) 11,174 restricted stock units vest on December 31, 2015, (b) 11,174 restricted stock units vest on December 31, 2016, and (c) 11,175 restricted stock units vest on December 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2