

CONCERT PHARMACEUTICALS, INC.

Form 4/A

August 14, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
JAEGER WILFRED E

2. Issuer Name and Ticker or Trading Symbol  
CONCERT PHARMACEUTICALS, INC. [CNCE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
08/12/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O THREE ARCH PARTNERS, 3200 ALPINE ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
08/14/2015

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

PORTOLA VALLEY, CA 94028

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |                | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|----------------|---|--|-----------------------------------|
|                                 |                                      |  |                                | Code  | V Amount Price |   |  |                                   |
| Common Stock                    | 08/12/2015                           |  | S                              | 46,398  | D              | \$ 17.4897 (1) 493,977  | I  | See Footnote (2)                  |
| Common Stock                    | 08/12/2015                           |  | S                              | 2,494   | D              | \$ 17.4897 (1) 26,558   | I  | See Footnote (3)                  |
| Common Stock                    | 08/12/2015                           |  | S                              | 47,837  | D              | \$ 17.4897 (1) 509,289  | I  | See Footnote (4)                  |
| Common                          | 08/12/2015                           |  | S                              | 1,056   | D              | \$ 11,245   | I  | See                               |

|       |                       |                        |
|-------|-----------------------|------------------------|
| Stock | 17,4897<br><u>(1)</u> | Footnote<br><u>(5)</u> |
|-------|-----------------------|------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  |                                |   | Date Exercisable      Expiration Date                    | Title   | Amount or Number of Shares                 |  |
|  |  |                                      |  | Code    V    (A)    (D)        |   |  |   |  |  |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

|   |   |
|---|---|
| JAEGER WILFRED E<br>C/O THREE ARCH PARTNERS<br>3200 ALPINE ROAD<br>PORTOLA VALLEY, CA 94028 | X |
| THREE ARCH MANAGEMENT III LLC<br>3200 ALPINE ROAD<br>PORTOLA VALLEY, CA 94028               | X |
| Three Arch Management IV, L.L.C.<br>3200 ALPINE ROAD<br>PORTOLA VALLEY, CA 94028            | X |

## Signatures

|   |            |
|---|------------|
| /s/ Wilfred Jaeger  | 08/14/2015 |
| **Signature of Reporting Person   | Date       |
| /s/ Wilfred Jaeger, as Managing Member of Three Arch Management III, L.L.C. | 08/14/2015 |

\_\_Signature of Reporting Person

Date

/s/ Wilfred Jaeger, as Managing Member of Three Arch Management IV,  
L.L.C.

08/14/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Amended to correct Price.

- (2) These shares are owned directly by Three Arch Partners III, L.P. ("TAP III"). Three Arch Management III, L.L.C. ("TAM III") is the general partner of TAP III, and Wilfred Jaeger and Mark Wan, the Managing Members of TAM III, may be deemed to share voting and dispositive power over the shares held by TAP III. Such persons and entities disclaim beneficial ownership of the shares held by TAP III except to the extent of any pecuniary interest therein.

- (3) These shares are owned directly by Three Arch Associates III, L.P. ("TAA III"). TAM III is the general partner of TAA III, and Wilfred Jaeger and Mark Wan, the Managing Members of TAM III, may be deemed to share voting and dispositive power over the shares held by TAA III. Such persons and entities disclaim beneficial ownership of the shares held by TAA III except to the extent of any pecuniary interest therein.

- (4) These shares are owned directly by Three Arch Partners IV, L.P. ("TAP IV"). Three Arch Management IV, L.L.C. ("TAM IV") is the general partner of TAP IV, and Wilfred Jaeger and Mark Wan, the Managing Members of TAM IV, may be deemed to share voting and dispositive power over the shares held by TAP IV except to the extent of any pecuniary interest therein.

- (5) These shares are owned directly by Three Arch Associates IV, L.P. ("TAA IV"). TAM IV is the general partner of TAA IV, and Wilfred Jaeger and Mark Wan, the Managing Members of TAM IV, may be deemed to share voting and dispositive power over the shares held by TAA IV. Such persons and entities disclaim beneficial ownership of the shares held by TAA IV except to the extent of any pecuniary interest therein.

### Remarks:

Following the transaction reported in this Form 4, Wilfred Jaeger, as a director of the Issuer, will remain subject to Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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