#### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4 May 08, 2013

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Street)

(State)

05/07/2013

(Middle)

(Zin)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Van Plew Daniel P

Symbol REGENERON

[REGN]

PHARMACEUTICALS INC

3. Date of Earliest Transaction

Director

10% Owner

X\_ Officer (give title Other (specify

(Check all applicable)

(Month/Day/Year) 05/06/2013

below)

SVP & General Mgr Industrial O

777 OLD SAW MILL RIVER

ROAD

(Last)

4. If Amendment, Date Original

23,761

D

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

TARRYTOWN, NY 10591

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit for Dispos (Instr. 3, 4	ed of ( 4 and 5	` ′	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	05/06/2013		M	3,902	A	\$ 16.8	10,736	D	
Common Stock	05/06/2013		M	29,048	A	\$ 16.8	39,784	D	
Common Stock	05/06/2013		F	1,873	D	\$ 260.44	37,911	D	
Common Stock	05/06/2013		F	13,450	D	\$ 260.44	24,461	D	

700

D

S

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Common Stock					\$ 260.88 (1)			
Common Stock	05/07/2013	S	10,988	D	\$ 261.56 (2)	12,773	D	
Common Stock	05/07/2013	S	2,037	D	\$ 262.15 (3)	10,736	D	
Common Stock						1,363	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Option (right to buy)	\$ 16.8	05/06/2013		M	3,902	<u>(4)</u>	12/17/2018	Common Stock	3,9
Non-Qualified Stock Option (right to buy)	\$ 16.8	05/06/2013		M	29,048	<u>(4)</u>	12/17/2018	Common Stock	29,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
D	Director	10% Owner	Officer	Other		

Van Plew Daniel P 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591

SVP & General Mgr Industrial O

2 Reporting Owners

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### **Signatures**

/s/\*\*Daniel P. Van Plew 05/07/2013

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents volume-weighted average price of sales of 700 shares of Company stock on May 7, 2013 at prices ranging from \$260.79 to (1) \$260.96. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 7, 2013 at each separate price.
- Represents volume-weighted average price of sales of 10,988 shares of Company stock on May 7, 2013 at prices ranging from \$261.01 to (2) \$261.99. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 7, 2013 at each separate price.
- Represents volume-weighted average price of sales of 2,037shares of Company stock on May 7, 2013 at prices ranging from \$262.03 to
- (3) \$262.33. Upon request by the Commission staff, the Company, or a security holder of the Company, the reporting person will provide full information regarding the number of shares sold by the reporting person on May 7, 2013 at each separate price.
- (4) The stock option award (combined incentive stock option and non-qualified stock option) vests in four equal annual installments, commencing one year after the date of grant.
- (5) Exercisable date, exercise date, exercise price, purchase price, sales price, and/or expiration date is/are not applicable in this case.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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